

TITLE 8 SOCIAL SERVICES
CHAPTER 308 MANAGED CARE PROGRAM
PART 11 TRANSITION OF CARE

8.308.11.1 ISSUING AGENCY: New Mexico Human Services Department (HSD).
[8.308.11.1 NMAC - N, 1/1/2014]

8.308.11.2 SCOPE: This rule applies to the general public.
[8.308.11.2 NMAC - N, 1/1/2014]

8.308.11.3 STATUTORY AUTHORITY: The New Mexico medicaid program and other health care programs are administered pursuant to regulations promulgated by the federal department of health and human services under Title XIX of the Social Security Act as amended or by state statute. See NMSA 1978, Section 27-1-12 et seq.
[8.308.11.3 NMAC - N, 1/1/2014]

8.308.11.4 DURATION: Permanent.
[8.308.11.4 NMAC - N, 1/1/2014]

8.308.11.5 EFFECTIVE DATE: January 1, 2014, unless a later date is cited at the end of a section.
[8.308.11.5 NMAC - N, 1/1/2014]

8.308.11.6 OBJECTIVE: The objective of this rule is to provide instructions for the service portion of the New Mexico medical assistance programs (MAP).
[8.308.11.6 NMAC - N, 1/1/2014]

8.308.11.7 DEFINITIONS: [RESERVED]

8.308.11.8 [MISSION STATEMENT: ~~To reduce the impact of poverty on people living in New Mexico by providing support services that help families break the cycle of dependency on public assistance.~~] [RESERVED]
[8.308.11.8 NMAC - N, 1/1/2014; A, xx/xx/xx]

8.308.11.9 TRANSITION OF CARE: Transition of care refers to movement of an eligible recipient or a managed care organization (MCO) member from one health care practitioner or setting to another as his or her condition and health care needs change. The MCO shall have the resources, the policies and the procedures in place to actively assist the member with his or her transition of care. Care coordination will be provided to members who are [a member transitioning from an institutional facility, such as a hospital, a nursing home, a residential treatment facility or an intermediate care facility for individuals with intellectual disabilities (ICF/IID) back into his or her community.] : (1) transitioning from a nursing facility to the community with special circumstances; (2) moving from a higher level of care to a lower level of care; (3) turning 21 years of age; (4) changing MCOs while hospitalized; (5) changing MCOs during major organ and tissue transplantation services; and (6) changing MCOs while receiving outpatient treatments for significant medical conditions. A member [changing from MCO to MCO, or from fee-for-service (FFS) to a MCO, or vice versa] shall continue to receive medically necessary services in an uninterrupted manner during transitions of care.

A. The following is a list of HSD's general MCO requirements for transition of care.

(1) The MCO shall establish policies and procedures to ensure that each member is contacted in a timely manner and is appropriately assessed by its MCO, using the HSD prescribed timeframes, processes and tools to identify his or her needs.

(2) The MCO shall have policies and procedures covering the transition of an eligible recipient into a MCO, which shall include:

(a) member and provider educational information about the MCO;

(b) self-care and the optimization of treatment; and

(c) the review and update of existing courses of the member's treatment.

(3) The MCO shall not transition a member to another provider for continuing services, unless the current provider is not a contracted provider.

(4) The MCO shall facilitate a seamless transition into a new service, a new provider, or both, in a care plan developed by the MCO without disruption in the member's services.

(5) When a member of a MCO is transitioning to another MCO, the receiving MCO shall immediately contact the member's relinquishing MCO and request the transfer of "transition of care data" as specified by HSD. If a MCO is contacted by another MCO requesting the transfer of "transition of care data" for a transitioning member, then upon verification of such a transition, the relinquishing MCO shall provide such data in the timeframe and format specified by HSD to the receiving MCO, and both MCOs shall facilitate a seamless transition for the member.

(6) The receiving MCO will ensure that its newly transitioning member is held harmless by his or her provider for the costs of medically necessary covered services, except for applicable cost sharing.

(7) For a medical assistance division (MAD) medically necessary covered service provided by a contracted provider, the MCO shall provide continuation of such services from that provider, but may require prior authorization for the continuation of such services from that provider beyond 30 calendar days. The receiving MCO may initiate a provider change only as specified in the MCO agreement with HSD.

(8) The receiving MCO shall continue providing services previously authorized by HSD, its contractor or designee, in the member's approved community benefit care plan, behavioral health treatment plan or service plan without regard to whether such a service is provided by contracted or non-contracted provider. The receiving MCO shall not reduce approved services until the member's care coordinator conducts a comprehensive needs assessment.

B. Transplant services, durable medical equipment and prescription drugs:

(1) If an eligible recipient has received HSD approval, either through FFS or any other HSD contractor, the receiving MCO shall reimburse the HSD approved providers if a donor organ becomes available during the first 30 calendar days of the member's MCO enrollment.

(2) If a member was approved by [aa] a MCO for transplant services, HSD shall reimburse the MCO approved providers if a donor organ becomes available during the first 30 calendar days of the eligible recipient's FFS enrollment. The MCO provider who delivers these services will be eligible for FFS enrollment if the provider is willing.

(3) If a member received approval from his or her MCO for durable medical equipment (DME) costing \$2,000 or more, and prior to the delivery of the DME item, was disenrolled from the MCO, the relinquishing MCO shall pay for the item.

(4) If an eligible recipient received FFS approval for a DME costing \$2,000 or more, and prior to the delivery of the DME item, he or she is enrolled in a MCO, HSD shall pay for the item. The DME provider will be eligible for FFS provider enrollment if the provider is willing.

(5) If a FFS eligible recipient enrolls in [aa] a MCO, the receiving MCO shall pay for prescribed drug refills for the first 30 calendar days or until the MCO makes other arrangements.

(6) If a MCO member is later determined to be exempt from MCO enrollment, HSD will pay for prescription drug refills for the first 30 calendar days of his or her FFS enrollment. The pharmacy provider will be eligible for FFS enrollment if the provider is willing;

(7) If a FFS eligible recipient is later enrolled in a MCO, the receiving MCO will honor all prior authorizations granted by HSD or its contractors for the first 30 calendar days or until it makes other arrangements for the transition of services. A provider who delivered services approved by HSD or through its contractors shall be reimbursed by the receiving MCO.

(8) If a MCO member is later determined to be exempt from MCO enrollment, HSD will honor the relinquishing MCO's prior authorizations for the first 30 calendar days or until other arrangements for the transition of services have been made. The provider will be eligible for FFS enrollment if the provider is willing.

C. Transition of care requirements for pregnant women:

(1) When a member is in her second or third trimester of pregnancy and is receiving medically necessary covered prenatal care services prior to her enrollment in the MCO, the receiving MCO will be responsible for providing continued access to her prenatal care provider (whether a contracted or non-contracted provider) through the two month postpartum period without any form of prior approval.

(2) When a newly enrolled member is in her first trimester of pregnancy and is receiving medically necessary covered prenatal care services prior to her enrollment, the receiving MCO shall be responsible for the costs of continuation of such medically necessary prenatal care services, including prenatal care and delivery, without any form of prior approval from the receiving MCO and without regard to whether such services are being provided by a contracted or non-contracted provider for up to 60 calendar days from her MCO enrollment or until she may be reasonably transferred to a MCO contracted provider without disruption in care, whichever is less.

(3) When a member is receiving services from a contracted provider, her MCO shall be responsible for the costs of continuation of medically necessary covered prenatal services from that provider, without any form of prior approval, through the two month postpartum period.

(4) When a member is receiving services from a non-contracted provider, her MCO will be responsible for the costs of continuation of medically necessary covered prenatal services, delivery, through the two month postpartum period, without any form of prior approval, until such time when her MCO determines it can reasonably transfer her to a contracted provider without impeding service delivery that might be harmful to her health.

D. Transition from institutional facility to community:

(1) The MCO shall develop and implement methods for identifying members who may have the ability, the desire, or both, to transition from institutional care to his or her community, such methods include, at a minimum:

- (a) the utilization of a comprehensive needs assessment;
- (b) the utilization of the preadmission screening and annual resident review (PASRR);
- (c) minimum data set (MDS);
- ~~(d) the identification of wrap-around services available in the community where the member will reside;~~
- ~~(e)~~ (d) a provider referral including hospitals and residential treatment centers;
- ~~(f)~~ (e) an ombudsman referral;
- ~~(g)~~ (f) a family member referral;
- ~~(h)~~ (g) a change in medical status; and
- ~~(i)~~ (h) the member's self-referral;
- ~~(i)~~ community reintegration allocation received;
- ~~(j)~~ state agency referral; and
- ~~(k)~~ incarceration or detention facility referral.

(2) When a member's transition assessment indicates that he or she is a candidate for transition to the community, his or her MCO care coordinator shall facilitate the development and completion of a transition plan, which shall remain in place for a minimum of 60 calendar days from the decision to pursue transition or until the transition has occurred and a new care plan is in place. The transition plan shall address the member's transition needs including but not limited to:

- (a) his or her physical and behavioral health needs;
- (b) the selection of providers in his or her community;
- (c) continuation of MAD eligibility;
- (d) his or her housing needs;
- (e) his or her financial needs;
- (f) his or her interpersonal skills; and
- (g) his or her safety.

(3) The MCO shall conduct an additional assessment within 75 calendar days of the member's transition to his or her community to determine if the transition was successful and identify any remaining needs of the member.

E. Transition from the New Mexico health insurance exchange:

(1) The receiving MCO must minimize the disruption of the newly enrolled member's care and ensure he or she has uninterrupted access to medically necessary services when transitioning between a medicaid MCO and his or her New Mexico health insurance exchange qualified health plan coverage.

(2) At a minimum, the receiving MCO shall establish transition guidelines for the following populations:

- (a) pregnant members, including the two month postpartum period;
- (b) members with complex medical conditions;
- (c) members receiving ongoing services or who are hospitalized at the time of transition; and
- (d) members who received prior authorization for services from their qualified health plan.

(3) The receiving MCO is expected to coordinate services and provide phase-in and phase-out time periods for each of these populations, and to maintain written policies and procedures to address these coverage transitions.

[8.308.11.9 NMAC - N, 1/1/2014]

HISTORY OF 8.308.11 NMAC: [RESERVED]