

STATE OF NEW MEXICO  
HUMAN SERVICES DEPARTMENT  
PROFESSIONAL SERVICES CONTRACT  
CONTRACT AMENDMENT NO. 4

**This Contract Amendment No. 4 (“Amendment No. 4”) to Contract No. 09-630-7903-0063 is made and entered into by and between the State of New Mexico Interagency Behavioral Health Purchasing Collaborative (hereinafter referred to as the “Collaborative”) and United HealthCare Insurance Company and United Behavioral Health, through their joint venture OptumHealth New Mexico, the Statewide Entity (“SE”), (hereinafter referred to as “SE” or “Contractor”).**

WITNESSETH:

WHEREAS, the Collaborative and the Contractor previously entered into Contract No. 09-630-7903-0063-A3 providing for services to be provided to the Collaborative by the Contractor;

WHEREAS, the Behavioral Health Purchasing Collaborative voted unanimously during their February 24, 2010 meeting to approve a contract amendment to establish the funding table for the FY 2011 contract; and

WHEREAS, the Parties desire to establish the FY 2011 Funding Table;

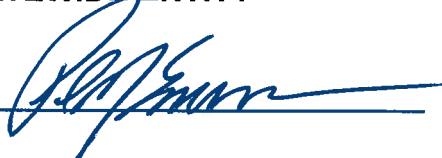
NOW, THEREFORE, IT IS MUTUALLY AGREED BETWEEN THE PARTIES THAT THE FOLLOWING PROVISION OF THE ABOVE-REFERENCED CONTRACT NO. 09-630-7903-0063-A2 (hereinafter referred to as the “Contract”) IS HEREBY AMENDED AS FOLLOWS:

**Appendix xxx, FY 2010 Funding Table referenced in Article 6 of the Contract is replaced with Appendix xxx, FY 2011 Funding Table, on behalf of all member agencies, and is attached and incorporated by reference in its entirety into Amendment 4 and the Contract.**

All other articles, provisions and terms of the Contract shall remain unchanged.

**IN WITNESS WHEREOF**, the parties have executed this Amendment No. 4 as of the date of the last signature of the Executive Committee of the NM Interagency Behavioral Health Purchasing Collaborative. The Executive Committee executes this Contract only to the extent of their statutory authority as members of the Collaborative and the Executive Committee.

**STATEWIDE ENTITY**

By: 

Date: 7/16/10

Title: CEO - Optim Health

**STATE OF NEW MEXICO**

Approved as to Form and Legal sufficiency:

By:   
Counsel for the Collaborative

Date: 7-21-10

The records of the Taxation and Revenue Department reflect that the Contractor is registered with the Taxation and Revenue Department of the State of New Mexico to pay gross Receipts and compensating taxes.

**TAXATION AND REVENUE DEPARTMENT**

ID Number: 03-140568-001

By: 

Date: 7/26/10

**EXECUTIVE COMMITTEE, NEW MEXICO  
BEHAVIORAL HEALTH PURCHASING  
COLLABORATIVE**

*N/A*

\_\_\_\_\_  
Dorian Dodson, Secretary  
Children, Youth and Families Department

\_\_\_\_\_  
Date

*Alfredo Vigil*

\_\_\_\_\_  
Alfredo Vigil, Secretary  
Department of Health

\_\_\_\_\_  
Date

*7/22/10*

*Kathryn Falls*

\_\_\_\_\_  
Kathryn Falls, Secretary  
Human Services Department

\_\_\_\_\_  
Date

*7/22/10*

## APPENDIX xxx FY 2011 Funding Table

Department	Funding Source (Fed/State)	Total Funding	Min. Percent Req. Direct Services	Percent Other Allowed	Individuals Served	Programs/Services Provided	Special Parameters
NMCD - Community Programming	Community Offender Management (Probation and Parole) - General Fund	\$3,526,600	97 percent \$3,420,802	3 percent \$105,798	Individuals under NMCD supervision in the community, either probation or parole, or discharging from prison or jail to community supervision.	Outpatient services for BH, Residential Substance Abuse programming, and life maintenance services	See General Fund Appropriations; NMCD Strategic Plan, Budget-based Performance Measures
	Community Corrections Fund - General Fund	\$2,355,300	97 percent \$2,284,641	3 percent \$70,659	Individuals under NMCD supervision in the community, either probation or parole, or discharging from prison or jail to community supervision.	Outpatient services for BH, Residential Substance Abuse programming, and life maintenance services	NMCD Strategic Plan, Budget-based Performance Measures; Community Corrections Statute; NMSA 33.9.1 - 33.9.10 (1989)
	<b>TOTAL NMCD</b>	<b>\$5,881,900</b>	<b>\$5,705,443</b>	<b>\$176,457</b>			
ALTSD	General Fund	\$56,133	100 percent \$56,133	0 percent	Persons age 55 and older	Provide individual and group peer counseling services. Such services shall be provided in home and community-based settings, including senior centers.	
	Transformation-State Incentive Grant - Federal Funds	\$7,000	100 percent \$7,000	0 percent	Persons age 55 and older	Build capacity for peer counseling services in rural Sandoval County through education, outreach and training to providers and community members.	Funds shall be paid to Outcomes, Inc. upon receipt of provider's invoice. Reporting contents and format shall follow the requirements in place for the prior year.
	<b>TOTAL ALTSD</b>	<b>\$63,133</b>	<b>\$63,133</b>	<b>\$0</b>			
HSD Medicaid	Medicaid: Managed Care, Federal	\$215,180,000 <sup>1</sup>	86 percent \$185,054,800	14 percent \$30,125,200 <sup>2</sup>	All Medicaid-eligible individuals enrolled in managed care	Medicaid Behavioral Health Services as identified in HSD/MAD regulations	Projected Amount. Final amounts depend on negotiated rates and actual number of enrolled individuals

<sup>1</sup> Amounts are subject to final federal, state and other fund source appropriations, awards, approvals or final negotiated amounts.

<sup>2</sup> Unless otherwise specified, percentage available for non-direct services; can include operating costs as well as other non-direct costs such as operational activities, training, evaluation.

**APPENDIX xxx**  
**FY 2011 Funding Table**

	Medicaid: Managed Care, State	\$52,723,000 <sup>1</sup>	86 percent \$45,341,780	14 percent \$7,381,220 <sup>2</sup>	All Medicaid-eligible individuals enrolled in managed care	Medicaid Behavioral Health Services as identified in HSD/MAD regulations	Projected Amount. Final amounts depend on negotiated rates and actual number of enrolled individuals
	Medicaid: Coordinated FFS, Federal & State	\$39,383,000 The projected State share is 22.9% (approximately \$9,025,000)	\$33,496,000	\$5,887,000 This amount is not based on a managed care % of total available dollars, but is a negotiated per member per month fee that will vary by number of enrollees. The State/Federal split is 50/50.	All Medicaid-eligible individuals not enrolled in managed care	Medicaid Behavioral Health Services as identified in HSD/MAD regulations	Claims and administrative fees are projected amounts subject to variation based on enrollment and utilization trends. Claims for direct services are passed through to HSD for payment. The admin fee is a set amount per month per enrollee, therefore, the percentage of total will vary depending on the relationship between the number of enrollees and total claims paid.
	<b>TOTAL MEDICAID</b>	<b>\$307,286,000</b>	<b>\$263,892,580</b>	<b>\$43,393,420</b>			
HSD/BHSD	BHSD: General Fund Substance Abuse	\$14,798,360	88.2 percent = \$13,052,154	11.8 percent = 1,746,206	Non-Medicaid-eligible adults (age 18+) who meet certain clinical and financial criteria	Substance Abuse Residential, Outpatient Svcs; Native American Svcs; Methamphetamine Treatment; Total Community Approach	Funds will be expended as directed by BHSD.
		\$2,887,121	92 percent = \$2,656,151	8 percent = \$230,970			
		\$17,685,481	\$15,708,305	1,977,176	<b>TOTAL SUBSTANCE ABUSE</b>		
	BHSD: General Fund Mental Health	\$17,975,472	88.2 percent = \$15,854,367	11.8 percent = \$2,121,105		Mental Health Inpatient & Outpatient Services; Jail Diversion; School-Based MH Svcs; Supported Employment, Compulsive Gambling;	Funds will be expended as directed by BHSD.
		\$1,000,000	92 percent = \$920,000	8 percent = \$80,000		Psychotropic Medications; Veterans BH Services (PTSD);	
		\$1,134,687	92 percent = \$1,043,912	8 percent = \$90,775		Veterans BHS/PTSD	
		\$459,284	92 percent = \$422,541	8 percent = \$36,743		Expanded Mental Health	

**APPENDIX xxx  
FY 2011 Funding Table**

	\$749,638	99.47 percent= \$745,638	.53 percent= \$4,000	Supportive Housing	
	21,319,081	18,986,458	2,332,623		
BHSD: Community MH Block Grant – Federal	\$1,418,868	100 percent \$1,418,868	0 percent	Mental Health Outpatient Services;	CMH Federal Block Grant requirements. Funds will be expended as directed by BHSD
BHSD: SAPT Block Grant – Federal	\$4,952,466	100 percent \$4,952,466	0 percent	Substance Abuse Residential & Outpatient Services;	SAPT Block Grant Federal Requirements. CMH Federal Block Grant requirements. Funds will be expended as directed by BHSD
BHSD: SAPT Block Grant – Prevention Federal	\$2,094,300	100 percent \$2,094,300	0 percent	Evidence-Based Prevention Programs	Must follow SAPT Block Grant Requirements. Funds will be expended as directed by BHSD
BHSD: Access to Recovery (ATR II) -Federal	\$963,500	100 percent \$963,500	0 percent	Voucher-based substance abuse treatment referral system	ATR federal requirements. Funds will be expended as directed by BHSD
BHSD: Jail Diversion Veteran's Fund	\$98,400	100 percent \$98,400	0 percent	BH treatment as a means for jail diversion	JDVF federal requirements. Funds will be expended as directed by BHSD
<b>TOTAL BHSD</b>	<b>48,532,096</b>	<b>\$44,222,297</b>	<b>\$4,309,799</b>		
CYFD				Early Childhood/Infant Services & training	HB 2 - \$4
General Fund	\$1,400,000	96.8 percent \$1,355,200	3.2 percent \$44,800	\$1,255,200 for IMH services \$100,000 for IMH training	

**APPENDIX xxx  
FY 2011 Funding Table**

General Fund	\$2,500,000	96.8 percent \$2,420,000	3.2 percent \$80,000	CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Shelter Care/Family Shelter Care	HB 2 - \$4
General Fund	\$720,000	96.8 percent \$696,960	3.2 percent \$23,040	CYFD and non-CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Safehouse Services	HB 2 - \$4
General Fund -	\$500,000	96.8 percent \$484,000	3.2 percent \$16,000	Girls referred or approved by the Juvenile Justice Facilities Behavioral Health Director of CYFD or an appropriate designee	Gender specific services for girls involved in juvenile justice system	HB 2 - \$4
General Fund -	\$260,000	96.8 percent \$251,680	3.2 percent \$8,320	CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Forensic Evaluations	HB 2 - \$4
General Fund -	\$600,000	96.8 percent \$580,800	3.2 percent \$19,200	CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Respite Care	HB 2 - \$4
General Fund	\$300,000	96.8 percent \$290,400	3.2 percent \$9,600	CYFD and non-CYFD CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Transitional Living	HB 2 - \$4
General Fund	\$1,000,000	96.8 percent \$968,000	3.2 percent \$32,000	CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Community-Based Wraparound Services/Case Management	HB 2 - \$4
General Fund	\$400,000	96.8 percent \$387,200	3.2 percent \$12,800	CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Activity Therapy	HB 2 - \$4

**APPENDIX xxx  
FY 2011 Funding Table**

	General Fund	\$1,756,500	96.8 percent \$1,700,292	3.2 percent \$56,208	CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Medicaid eligible services for non-Medicaid eligible youth and non-Medicaid eligible support services	HB 2 - §4
	General Fund	20,000	96.8 percent \$19,360	3.2 percent \$640.00	CYFD and non-CYFD CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	CYFD Support Services for Youth in Support of Housing	HB 2 - §4
	CMH Block Grant - Federal	\$360,000	100 percent \$360,000	0 percent 0	CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Evidence-Based Programs and Training	
	<b>Total CYFD</b>	<b>\$9,816,500</b>	<b>\$9,513,892</b>	<b>\$302,608</b>			
DOH	Strategic Prevention Framework	\$603,263	100 percent \$603,263	0 percent 0	Communities with a special focus on 15-24 year olds	Evidence-based environmental strategies.	NM Strategic Prevention Framework; SIG Terms and Conditions; funds available through 9/30/1010.
	<b>TOTAL DOH</b>	<b>\$603,263</b>	<b>\$603,263</b>	<b>0</b>			
	<b>FUNDING TABLE GRAND TOTAL</b>	<b>\$372,182,892</b>	<b>\$324,000,608</b>	<b>\$48,182,284</b>			