

**ATTACHMENT A**

**New Mexico Budget Neutrality Monitoring Spreadsheet**

**- PMPM Analysis**

**DY 9**

Start Date: 01/01/2022

End Date: 12/31/2022

**Quarter 4**

Start Date: 10/1/2022

End Date: 12/31/2022

**Table 3 - PMPM Summary by Demonstration Year and MEG**

MEG01 TANF & Related	DY 01 Cost Estimates	DY 01 YTD - Actuals <sup>2</sup>	DY 02 Cost Estimates	DY 02 YTD - Actuals <sup>2</sup>	DY 03 Cost Estimates	DY 03 YTD - Actuals <sup>2</sup>	DY 04 Cost Estimates	DY 04 YTD - Actuals <sup>2</sup>	DY 05 Cost Estimates	DY 05 YTD - Actuals <sup>2</sup>	DY 06 Cost Estimates	DY 06 YTD - Actuals <sup>2</sup>
MMs <sup>1</sup>	4,727,584	4,517,149	4,861,847	4,454,290	5,020,343	4,621,656	5,092,636	4,623,475	5,132,359	4,422,938	4,974,487	4,313,641
PMPM	\$ 385.80	\$ 329.14	\$ 400.77	\$ 344.32	\$ 416.32	\$ 334.75	\$ 432.47	\$ 341.04	\$ 449.25	\$ 353.32	\$ 460.00	\$ 397.17
Dollars	\$ 1,823,911,159	\$ 1,486,786,187	\$ 1,948,487,793	\$ 1,533,690,296	\$ 2,090,074,424	\$ 1,547,091,441	\$ 2,202,434,150	\$ 1,576,788,678	\$ 2,305,734,126	\$ 1,562,700,537	\$ 2,288,249,485	\$ 1,713,228,412
MEG02 SSI & Related - Medicaid Only	DY 01 Cost Estimates	DY 01 YTD - Actuals	DY 02 Cost Estimates	DY 02 YTD - Actuals <sup>2</sup>	DY 03 Cost Estimates	DY 03 YTD - Actuals <sup>2</sup>	DY 04 Cost Estimates	DY 04 YTD - Actuals <sup>2</sup>	DY 05 Cost Estimates	DY 05 YTD - Actuals <sup>2</sup>	DY 06 Cost Estimates	DY 06 YTD - Actuals <sup>2</sup>
MMs <sup>1</sup>	508,700	497,958	513,736	494,529	518,976	493,577	524,737	487,798	530,824	459,830	499,659	448,460
PMPM	\$ 1,763.90	\$ 1,656.75	\$ 1,842.83	\$ 1,785.41	\$ 1,925.21	\$ 1,756.52	\$ 2,008.00	\$ 1,734.28	\$ 2,094.34	\$ 1,729.97	\$ 2,158.77	\$ 1,930.46
Dollars	\$ 897,298,062	\$ 824,991,985	\$ 946,727,393	\$ 882,936,445	\$ 999,138,707	\$ 866,977,418	\$ 1,053,669,000	\$ 845,978,765	\$ 1,111,724,897	\$ 795,492,785	\$ 1,078,650,304	\$ 865,733,422
MEG03 SSI & Related - Dual Eligible	DY 01 Cost Estimates	DY 01 YTD - Actuals	DY 02 Cost Estimates	DY 02 YTD - Actuals <sup>2</sup>	DY 03 Cost Estimates	DY 03 YTD - Actuals <sup>2</sup>	DY 04 Cost Estimates	DY 04 YTD - Actuals <sup>2</sup>	DY 05 Cost Estimates	DY 05 YTD - Actuals <sup>2</sup>	DY 06 Cost Estimates	DY 06 YTD - Actuals <sup>2</sup>
MMs <sup>1</sup>	373,823	428,025	380,215	435,140	386,831	447,801	393,832	443,071	401,197	432,715	467,635	433,415
PMPM	\$ 1,780.77	\$ 1,333.20	\$ 1,857.34	\$ 1,342.71	\$ 1,937.21	\$ 1,361.10	\$ 2,020.51	\$ 1,273.53	\$ 2,107.39	\$ 1,290.50	\$ 2,057.62	\$ 1,285.27
Dollars	\$ 665,692,378	\$ 570,643,867	\$ 706,189,973	\$ 584,265,571	\$ 749,372,219	\$ 609,500,283	\$ 795,742,098	\$ 564,265,856	\$ 845,479,241	\$ 558,419,629	\$ 962,212,283	\$ 557,054,910
MEG04 "217 Like" Medicaid Only	DY 01 Cost Estimates	DY 01 YTD - Actuals	DY 02 Cost Estimates	DY 02 YTD - Actuals <sup>2</sup>	DY 03 Cost Estimates	DY 03 YTD - Actuals <sup>2</sup>	DY 04 Cost Estimates	DY 04 YTD - Actuals <sup>2</sup>	DY 05 Cost Estimates	DY 05 YTD - Actuals <sup>2</sup>	DY 06 Cost Estimates	DY 06 YTD - Actuals <sup>2</sup>
MMs <sup>1</sup>	5,841	2,799	5,898	2,382	5,959	2,987	6,025	3,797	6,095	3,307	4,087	2,990
PMPM	\$ 4,936.92	\$ 2,380.16	\$ 5,090.46	\$ 2,347.27	\$ 5,248.77	\$ 2,537.88	\$ 5,412.01	\$ 3,295.32	\$ 5,580.32	\$ 3,649.36	\$ 5,747.30	\$ 3,807.10
Dollars	\$ 28,834,295	\$ 6,662,064	\$ 30,025,379	\$ 5,591,208	\$ 31,274,952	\$ 7,580,640	\$ 32,605,551	\$ 12,512,314	\$ 34,009,571	\$ 12,068,447	\$ 23,490,632	\$ 11,383,232
MEG05 "217 Like" Dual Eligible	DY 01 Cost Estimates	DY 01 YTD - Actuals	DY 02 Cost Estimates	DY 02 YTD - Actuals <sup>2</sup>	DY 03 Cost Estimates	DY 03 YTD - Actuals <sup>2</sup>	DY 04 Cost Estimates	DY 04 YTD - Actuals <sup>2</sup>	DY 05 Cost Estimates	DY 05 YTD - Actuals <sup>2</sup>	DY 06 Cost Estimates	DY 06 YTD - Actuals <sup>2</sup>
MMs <sup>1</sup>	27,935	26,895	28,413	27,063	28,907	31,866	29,430	40,409	29,981	47,438	43,493	50,767
PMPM	\$ 1,776.90	\$ 3,226.87	\$ 1,853.31	\$ 3,143.68	\$ 1,933.00	\$ 2,884.00	\$ 2,016.12	\$ 2,789.99	\$ 2,102.81	\$ 2,840.04	\$ 3,661.18	\$ 2,834.27
Dollars	\$ 49,637,569	\$ 86,786,741	\$ 52,657,285	\$ 85,077,407	\$ 55,877,183	\$ 91,901,521	\$ 59,334,769	\$ 112,740,550	\$ 63,043,435	\$ 134,725,706	\$ 159,236,444	\$ 143,887,343
MEG06 VIII Group - Medicaid Expansion	DY 01 Cost Estimates	DY 01 YTD - Actuals	DY 02 Cost Estimates	DY 02 YTD - Actuals <sup>2</sup>	DY 03 Cost Estimates	DY 03 YTD - Actuals <sup>2</sup>	DY 04 Cost Estimates	DY 04 YTD - Actuals <sup>2</sup>	DY 05 Cost Estimates	DY 05 YTD - Actuals <sup>2</sup>	DY 06 Cost Estimates	DY 06 YTD - Actuals <sup>2</sup>
MMs <sup>1</sup>	1,632,968	1,887,728	1,788,895	2,748,632	1,800,808	3,078,074	1,763,748	3,143,890	1,773,299	3,019,164	3,299,404	3,070,895
PMPM	\$ 577.87	\$ 453.48	\$ 607.34	\$ 476.42	\$ 638.31	\$ 442.85	\$ 670.87	\$ 450.20	\$ 705.08	\$ 484.91	\$ 738.22	\$ 524.72
Dollars	\$ 943,638,928	\$ 856,045,974	\$ 1,086,464,733	\$ 1,309,500,952	\$ 1,149,478,718	\$ 1,363,113,748	\$ 1,183,239,734	\$ 1,415,365,123	\$ 1,250,319,546	\$ 1,464,028,869	\$ 2,435,685,299	\$ 1,611,359,655
MEG08 Uncompensated Care Pool	DY 01 Cost Estimates	DY 01 YTD - Actuals	DY 02 Cost Estimates	DY 02 YTD - Actuals	DY 03 Cost Estimates	DY 03 YTD - Actuals <sup>2</sup>	DY 04 Cost Estimates	DY 04 YTD - Actuals <sup>2</sup>	DY 05 Cost Estimates	DY 05 YTD - Actuals <sup>2</sup>	DY 06 Cost Estimates	DY 06 YTD - Actuals <sup>2</sup>
Total Allotment	\$ 68,889,323	\$ 68,889,323	\$ 68,889,323	\$ 67,294,973	\$ 68,889,323	\$ 68,889,323	\$ 68,889,323	\$ 68,889,323	\$ 68,889,323	\$ 68,889,323	\$ 68,889,323	\$ 68,889,316
MEG09 Hospital Quality Improvement Incentive Pool	DY 01 Cost Estimates	DY 01 YTD - Actuals	DY 02 Cost Estimates	DY 02 YTD - Actuals	DY 03 Cost Estimates	DY 03 YTD - Actuals <sup>2</sup>	DY 04 Cost Estimates	DY 04 YTD - Actuals <sup>2</sup>	DY 05 Cost Estimates	DY 05 YTD - Actuals <sup>2</sup>	DY 06 Cost Estimates	DY 06 YTD - Actuals <sup>2</sup>
Total Allotment	\$ -	\$ -	\$ 2,824,462	\$ 2,824,462	\$ 5,764,727	\$ 7,359,077	\$ 8,825,544	\$ 8,825,541	\$ 12,011,853	\$ 12,011,853	\$ 12,000,000	\$ 12,000,002
Centennial Care 2.0 Medicaid SUD/IMD	DY 01 Cost Estimates	DY 01 YTD - Actuals	DY 02 Cost Estimates	DY 02 YTD - Actuals	DY 03 Cost Estimates	DY 03 YTD - Actuals <sup>2</sup>	DY 04 Cost Estimates	DY 04 YTD - Actuals <sup>2</sup>	DY 05 Cost Estimates	DY 05 YTD - Actuals <sup>2</sup>	DY 06 Cost Estimates	DY 06 YTD - Actuals <sup>2</sup>
MM											595	595
PMPM											\$ 808.21	\$ 5,795.10
Dollars											\$ 480,885	\$ 3,448,086

Notes:

1.) Actual member months for Demonstration Year 9 include the reported member months for this Centennial Care Quarterly Report, Section XIV and Section IX.

2.) Expenditures as reported on the CMS-64 Schedule C, FFY2023 Quarter 1. Report pulled on 02/13/2023.

**ATTACHMENT A**

**New Mexico Budget Neutrality Monitoring Spreadsheet**

**- Budget Neutrality Limit Analysis**

**DY 1**

Start Date: 01/01/2014

End Date: 12/31/2014

**Table 1.1: Budget Neutrality Limit DY 1 (Special Terms and Conditions (STC) 106)**

	DY 1 - PMPM	DY 1 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 1 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG01 - TANF & Related	\$ 385.80	4,517,149	\$ 1,742,724,978	70.77%	\$ 1,233,319,149	\$ 1,486,786,187	\$ 1,070,423,106
MEG02 - SSI & Related - Medicaid Only	\$ 1,763.90	497,958	\$ 878,350,269	70.77%	\$ 621,604,797	\$ 824,991,985	\$ 574,950,391
MEG03 - SSI & Related - Dual Eligible	\$ 1,780.77	428,025	\$ 762,214,336	70.77%	\$ 539,415,885	\$ 570,643,867	\$ 395,585,750
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	70.77%	\$ 48,752,685	\$ 68,889,323	\$ 47,671,412
MEG09 HQII	NA	NA	\$ -	70.77%	\$ -	\$ -	\$ -
<b>Grand Total</b>			<b>\$ 3,452,178,905</b>		<b>\$ 2,443,092,516</b>	<b>\$ 2,951,311,362</b>	<b>\$ 2,088,630,659</b>

**Table 1.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 107)**

	DY 1 - PMPM	DY 1 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 1 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 04 - "217 Like" Medicaid Only	\$ 4,936.92	2,799	\$ 13,818,444	69.31%	\$ 9,577,968	\$ 6,662,064	\$ 4,617,656
MEG 05 - "217 Like" Dual Eligible	\$ 1,776.90	26,895	\$ 47,789,749	69.31%	\$ 33,124,475	\$ 86,786,741	\$ 60,154,448
<b>Grand Total</b>			<b>\$ 61,608,193</b>		<b>\$ 42,702,443</b>	<b>\$ 93,448,805</b>	<b>\$ 64,772,104</b>

**Table 1.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 108)**

	DY 1 - PMPM	DY 1 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 1 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 06 - VIII Group - Medicaid Expansion	\$ 577.87	1,887,728	\$ 1,090,856,222	100.00%	\$ 1,090,823,365	\$ 856,045,974	\$ 856,020,190
<b>Grand Total</b>			<b>\$ 1,090,856,222</b>		<b>\$ 1,090,823,365</b>	<b>\$ 856,045,974</b>	<b>\$ 856,020,190</b>

**Table 1.4: DY 1 Assessment of Budget Neutrality (STC 102, 104, 111)**

Federal Share (Title XIX) Budget Neutrality Limit	<b>\$ 2,443,092,516</b>
Federal Share (Title XIX) Actual Reported	\$ 2,088,630,659
Excess Spending - Test 1	\$ 22,069,661
Excess Spending - Test 2	\$ -
Total Actuals	\$ 2,110,700,320
Difference (Actuals - Limit)	\$ (332,392,197)
Percentage Difference	-13.6%

Notes:

1.) Member months as of November 3, 2015.

2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY2023 Quarter 1 submission.

3.) Expenditures as reported on the CMS-64 Schedule C, FFY2023 Quarter 1. Report pulled on 02/13/2023.

**ATTACHMENT A**

**New Mexico Budget Neutrality Monitoring Spreadsheet**

**- Budget Neutrality Limit Analysis**

**DY 2**

Start Date: 01/01/2015

End Date: 12/31/2015

**Table 2.1: Budget Neutrality Limit DY 2 (Special Terms and Conditions (STC) 106)**

	DY 2 - PMPM	DY 2 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 2 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG01 - TANF & Related	\$ 400.77	4,454,290	\$ 1,785,150,637	71.40%	\$ 1,274,542,294	\$ 1,533,690,296	\$ 1,116,190,075
MEG02 - SSI & Related - Medicaid Only	\$ 1,842.83	494,529	\$ 911,332,877	71.40%	\$ 650,663,463	\$ 882,936,445	\$ 619,379,415
MEG03 - SSI & Related - Dual Eligible	\$ 1,857.34	435,140	\$ 808,202,928	71.40%	\$ 577,031,872	\$ 584,265,571	\$ 408,061,166
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	71.40%	\$ 49,184,844	\$ 67,294,973	\$ 46,989,091
MEG09 HQII	NA	NA	\$ 2,824,462	71.40%	\$ 2,016,578	\$ 2,824,462	\$ 1,987,574
<b>Grand Total</b>			<b>\$ 3,576,400,227</b>		<b>\$ 2,553,439,051</b>	<b>\$ 3,071,011,747</b>	<b>\$ 2,192,607,321</b>

**Table 2.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 107)**

	DY 2 - PMPM	DY 2 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 2 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 04 - "217 Like" Medicaid Only	\$ 5,090.46	2,382	\$ 12,125,476	69.84%	\$ 8,468,468	\$ 5,591,208	\$ 3,906,915
MEG 05 - "217 Like" Dual Eligible	\$ 1,853.31	27,063	\$ 50,156,129	69.84%	\$ 35,029,186	\$ 85,077,407	\$ 59,416,310
<b>Grand Total</b>			<b>\$ 62,281,604</b>		<b>\$ 43,497,654</b>	<b>\$ 90,668,615</b>	<b>\$ 63,323,225</b>

**Table 2.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 108)**

	DY 2 - PMPM	DY 2 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 2 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 06 - VIII Group - Medicaid Expansion	\$ 607.34	2,748,632	\$ 1,669,354,159	100.00%	\$ 1,669,275,988	\$ 1,309,500,952	\$ 1,309,439,632
<b>Grand Total</b>			<b>\$ 1,669,354,159</b>		<b>\$ 1,669,275,988</b>	<b>\$ 1,309,500,952</b>	<b>\$ 1,309,439,632</b>

**Table 2.4: DY 2 Assessment of Budget Neutrality (STC 102, 104, 111)**

Federal Share (Title XIX) Budget Neutrality Limit	\$ 2,553,439,051
Federal Share (Title XIX) Actual Reported	\$ 2,192,607,321
Excess Spending - Test 1	\$ 19,825,571
Excess Spending - Test 2	\$ -
Total Actuals	\$ 2,212,432,892
Difference (Actuals - Limit)	\$ (341,006,159)
Percentage Difference	-13.4%

Notes:

1.) Member months as of November 10, 2016.

2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY2023 Quarter 1 submission.

3.) Expenditures as reported on the CMS-64 Schedule C, FFY2023 Quarter 1. Report pulled on 02/13/2023.

**ATTACHMENT A**

**New Mexico Budget Neutrality Monitoring Spreadsheet**

**- Budget Neutrality Limit Analysis**

**DY 3**

Start Date: 01/01/2016

End Date: 12/31/2016

**Table 3.1: Budget Neutrality Limit DY 3 (Special Terms and Conditions (STC) 106)**

	DY 3 - PMPM	DY 3 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 3 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG01 - TANF & Related	\$ 416.32	4,621,656	\$ 1,924,092,463	72.12%	\$ 1,387,680,219	\$ 1,547,091,441	\$ 1,137,287,817
MEG02 - SSI & Related - Medicaid Only	\$ 1,925.21	493,577	\$ 950,239,887	72.12%	\$ 685,325,222	\$ 866,977,418	\$ 614,385,717
MEG03 - SSI & Related - Dual Eligible	\$ 1,937.21	447,801	\$ 867,484,358	72.12%	\$ 625,640,871	\$ 609,500,283	\$ 430,111,909
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	72.12%	\$ 49,683,865	\$ 68,889,323	\$ 48,608,306
MEG09 HQII	NA	NA	\$ 5,764,727	72.12%	\$ 4,157,595	\$ 7,359,077	\$ 5,234,511
<b>Grand Total</b>			<b>\$ 3,816,470,759</b>		<b>\$ 2,752,487,772</b>	<b>\$ 3,099,817,542</b>	<b>\$ 2,235,628,260</b>

**Table 3.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 107)**

	DY 3 - PMPM	DY 3 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 3 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 04 - "217 Like" Medicaid Only	\$ 5,248.77	2,987	\$ 15,678,086	70.59%	\$ 11,066,436	\$ 7,580,640	\$ 5,353,671
MEG 05 - "217 Like" Dual Eligible	\$ 1,933.00	31,866	\$ 61,596,973	70.59%	\$ 43,478,457	\$ 91,901,521	\$ 64,866,189
<b>Grand Total</b>			<b>\$ 77,275,059</b>		<b>\$ 54,544,893</b>	<b>\$ 99,482,161</b>	<b>\$ 70,219,860</b>

**Table 3.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 108)**

	DY 3 - PMPM	DY 3 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 3 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 06 - VIII Group - Medicaid Expansion	\$ 638.31	3,078,074	\$ 1,964,773,916	99.93%	\$ 1,963,462,690	\$ 1,363,113,748	\$ 1,362,204,050
<b>Grand Total</b>			<b>\$ 1,964,773,916</b>		<b>\$ 1,963,462,690</b>	<b>\$ 1,363,113,748</b>	<b>\$ 1,362,204,050</b>

**Table 3.4: DY 3 Assessment of Budget Neutrality (STC 102, 104, 111)**

Federal Share (Title XIX) Budget Neutrality Limit	\$ 2,752,487,772
Federal Share (Title XIX) Actual Reported	\$ 2,235,628,260
Excess Spending - Test 1	\$ 15,674,967
Excess Spending - Test 2	\$ -
Total Actuals	\$ 2,251,303,227
Difference (Actuals - Limit)	\$ (501,184,546)
Percentage Difference	-18.2%

Notes:

1.) Member months as of October 3, 2017.

2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY2023 Quarter 1 submission.

3.) Expenditures as reported on the CMS-64 Schedule C, FFY2023 Quarter 1. Report pulled on 02/13/2023.

**ATTACHMENT A**

**New Mexico Budget Neutrality Monitoring Spreadsheet**

**- Budget Neutrality Limit Analysis**

**DY 4**

Start Date: 01/01/2017

End Date: 12/31/2017

**Table 4.1: Budget Neutrality Limit DY 4 (Special Terms and Conditions (STC) 106)**

	DY 4 - PMPM	DY 4 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 4 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG01 - TANF & Related	\$ 432.47	4,623,475	\$ 1,999,533,921	73.08%	\$ 1,461,177,674	\$ 1,576,788,678	\$ 1,174,584,131
MEG02 - SSI & Related - Medicaid Only	\$ 2,008.00	487,798	\$ 979,495,999	73.08%	\$ 715,775,646	\$ 845,978,765	\$ 606,610,371
MEG03 - SSI & Related - Dual Eligible	\$ 2,020.51	443,071	\$ 895,229,176	73.08%	\$ 654,196,896	\$ 564,265,856	\$ 402,851,084
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	73.08%	\$ 50,341,502	\$ 68,889,323	\$ 49,178,612
MEG09 HQII	NA	NA	\$ 8,825,544	73.08%	\$ 6,449,347	\$ 8,825,541	\$ 6,368,511
<b>Grand Total</b>			<b>\$ 3,951,973,963</b>		<b>\$ 2,887,941,065</b>	<b>\$ 3,064,748,163</b>	<b>\$ 2,239,592,709</b>

**Table 4.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 107)**

	DY 4 - PMPM	DY 4 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 4 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 04 - "217 Like" Medicaid Only	\$ 5,412.01	3,797	\$ 20,549,402	71.42%	\$ 14,675,372	\$ 12,512,314	\$ 8,934,265
MEG 05 - "217 Like" Dual Eligible	\$ 2,016.12	40,409	\$ 81,469,347	71.42%	\$ 58,181,400	\$ 112,740,550	\$ 80,515,170
<b>Grand Total</b>			<b>\$ 102,018,749</b>		<b>\$ 72,856,773</b>	<b>\$ 125,252,864</b>	<b>\$ 89,449,435</b>

**Table 4.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 108)**

	DY 4 - PMPM	DY 4 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 4 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 06 - VIII Group - Medicaid Expansion	\$ 670.87	3,143,890	\$ 2,109,131,150	95.15%	\$ 2,006,846,940	\$ 1,415,365,123	\$ 1,346,725,720
<b>Grand Total</b>			<b>\$ 2,109,131,150</b>		<b>\$ 2,006,846,940</b>	<b>\$ 1,415,365,123</b>	<b>\$ 1,346,725,720</b>

**Table 4.4: DY 4 Assessment of Budget Neutrality (STC 102, 104, 111)**

Federal Share (Title XIX) Budget Neutrality Limit	<b>\$ 2,887,941,065</b>
Federal Share (Title XIX) Actual Reported	\$ 2,239,592,709
Excess Spending - Test 1	\$ 16,592,662
Excess Spending - Test 2	\$ -
Total Actuals	\$ 2,256,185,371
Difference (Actuals - Limit)	\$ (631,755,693)
Percentage Difference	-21.9%

Notes:

1.) Member months as of October 4, 2018.

2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY2023 Quarter 1 submission.

3.) Expenditures as reported on the CMS-64 Schedule C, FFY2023 Quarter 1. Report pulled on 02/13/2023.

**ATTACHMENT A**

**New Mexico Budget Neutrality Monitoring Spreadsheet**

**- Budget Neutrality Limit Analysis**

**DY 5**

Start Date: 01/01/2018

End Date: 12/31/2018

**Table 5.1: Budget Neutrality Limit DY 5 (Special Terms and Conditions (STC) 106)**

	DY 5 - PMPM	DY 5 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 5 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG01 - TANF & Related	\$ 449.25	4,422,938	\$ 1,987,023,736	74.05%	\$ 1,471,333,099	\$ 1,562,700,537	\$ 1,180,650,383
MEG02 - SSI & Related - Medicaid Only	\$ 2,094.34	459,830	\$ 963,039,856	74.05%	\$ 713,102,914	\$ 795,492,785	\$ 576,992,577
MEG03 - SSI & Related - Dual Eligible	\$ 2,107.39	432,715	\$ 911,899,885	74.05%	\$ 675,235,257	\$ 558,419,629	\$ 403,164,614
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	74.05%	\$ 51,010,534	\$ 68,889,323	\$ 50,084,411
MEG09 HQII	NA	NA	\$ 12,011,853	74.05%	\$ 8,894,427	\$ 12,011,853	\$ 8,679,765
<b>Grand Total</b>			<b>\$ 3,942,864,653</b>		<b>\$ 2,919,576,231</b>	<b>\$ 2,997,514,127</b>	<b>\$ 2,219,571,750</b>

**Table 5.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 107)**

	DY 5 - PMPM	DY 5 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 5 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 04 - "217 Like" Medicaid Only	\$ 5,580.32	3,307	\$ 18,454,130	72.19%	\$ 13,322,745	\$ 12,068,447	\$ 8,714,682
MEG 05 - "217 Like" Dual Eligible	\$ 2,102.81	47,438	\$ 99,753,194	72.19%	\$ 72,015,661	\$ 134,725,706	\$ 97,261,654
<b>Grand Total</b>			<b>\$ 118,207,324</b>		<b>\$ 85,338,406</b>	<b>\$ 146,794,153</b>	<b>\$ 105,976,336</b>

**Table 5.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 108)**

	DY 5 - PMPM	DY 5 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 5 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 06 - VIII Group - Medicaid Expansion	\$ 705.08	3,019,164	\$ 2,128,754,916	94.19%	\$ 2,005,116,762	\$ 1,464,028,869	\$ 1,378,998,025
<b>Grand Total</b>			<b>\$ 2,128,754,916</b>		<b>\$ 2,005,116,762</b>	<b>\$ 1,464,028,869</b>	<b>\$ 1,378,998,025</b>

**Table 5.4: DY 5 Assessment of Budget Neutrality (STC 102, 104, 111)**

Federal Share (Title XIX) Budget Neutrality Limit	\$ 2,919,576,231
Federal Share (Title XIX) Actual Reported	\$ 2,219,571,750
Excess Spending - Test 1	\$ 20,637,930
Excess Spending - Test 2	\$ -
Total Actuals	\$ 2,240,209,680
Difference (Actuals - Limit)	\$ (679,366,551)
Percentage Difference	-23.3%

Notes:

1.) Member months as of October 3, 2019.

2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY2023 Quarter 1 submission.

3.) Expenditures as reported on the CMS-64 Schedule C, FFY2023 Quarter 1. Report pulled on 02/13/2023.

**ATTACHMENT A**

**New Mexico Budget Neutrality Monitoring Spreadsheet**

**- Budget Neutrality Limit Analysis**

**DY 6**

Start Date: 01/01/2019

End Date: 12/31/2019

**Table 6.1: Budget Neutrality Limit DY 6 (Special Terms and Conditions (STC) 96)**

	DY 6 - PMPM	DY 6 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 6 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG01 - TANF & Related	\$ 460.00	4,313,641	\$ 1,984,262,326	74.74%	\$ 1,483,088,193	\$ 1,713,228,412	\$ 1,307,700,922
MEG02 - SSI & Related - Medicaid Only	\$ 2,158.77	448,460	\$ 968,123,620	74.74%	\$ 723,600,247	\$ 865,733,422	\$ 633,270,173
MEG03 - SSI & Related - Dual Eligible	\$ 2,057.62	433,415	\$ 891,801,274	74.74%	\$ 666,554,982	\$ 557,054,910	\$ 403,429,612
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	74.74%	\$ 51,489,634	\$ 68,889,316	\$ 50,869,441
MEG09 HQII	NA	NA	\$ 12,000,000	74.74%	\$ 8,969,106	\$ 12,000,002	\$ 9,127,363
<b>Grand Total</b>			<b>\$ 3,925,076,543</b>		<b>\$ 2,933,702,162</b>	<b>\$ 3,216,906,062</b>	<b>\$ 2,404,397,511</b>

**Table 6.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 98)**

	DY 6 - PMPM	DY 6 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 6 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 04 - "217 Like" Medicaid Only	\$ 5,747.30	2,990	\$ 17,184,417	72.42%	\$ 12,444,939	\$ 11,383,232	\$ 8,248,128
MEG 05 - "217 Like" Dual Eligible	\$ 3,661.18	50,767	\$ 185,867,373	72.42%	\$ 134,604,989	\$ 143,887,343	\$ 104,198,687
<b>Grand Total</b>			<b>\$ 203,051,789</b>		<b>\$ 147,049,929</b>	<b>\$ 155,270,575</b>	<b>\$ 112,446,815</b>

**Table 6.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 99)**

	DY 6 - PMPM	DY 6 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 6 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 06 - VIII Group - Medicaid Expansion	\$ 738.22	3,070,895	\$ 2,266,995,241	93.12%	\$ 2,110,919,486	\$ 1,611,359,655	\$ 1,500,422,424
<b>Grand Total</b>			<b>\$ 2,266,995,241</b>		<b>\$ 2,110,919,486</b>	<b>\$ 1,611,359,655</b>	<b>\$ 1,500,422,424</b>

**Table 6.4: Supplemental Budget Neutrality Test 3: SUD/IMD (STC 100)**

	DY 6 - PMPM	DY 6 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 6 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG SUD/IMD	\$ 808.21	595	\$ 480,885	92.33%	\$ 444,008	\$ 3,448,086	\$ 3,183,671
<b>Grand Total</b>			<b>\$ 480,885</b>		<b>\$ 444,008</b>	<b>\$ 3,448,086</b>	<b>\$ 3,183,671</b>

**Table 6.5: DY 6 Assessment of Budget Neutrality (STC 93, 96, 105)**

Federal Share (Title XIX) Budget Neutrality Limit	\$ 2,933,702,162
Federal Share (Title XIX) Actual Reported	\$ 2,404,397,511
Excess Spending - Test 1	\$ -
Excess Spending - Test 2	\$ -
Excess Spending - Test 3	\$ 2,739,663
Total Actuals	\$ 2,404,397,511
Difference (Actuals - Limit)	\$ (529,304,651)
Percentage Difference	-18.0%

Notes:

1.) Member months as of October 4, 2021.

2.) As defined in STC 102 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY2023 Quarter 1 submission.

3.) Expenditures as reported on the CMS-64 Schedule C, FFY2023 Quarter 1. Report pulled on 02/13/2023.

**ATTACHMENT A**

**New Mexico Budget Neutrality Monitoring Spreadsheet**

**- Budget Neutrality Limit Analysis**

**DY 7**

Start Date: 01/01/2020

End Date: 12/31/2020

**Table 7.1: Budget Neutrality Limit DY 7 (Special Terms and Conditions (STC) 96)**

	DY 7 - PMPM	DY 7 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 7 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG01 - TANF & Related	\$ 477.48	4,593,472	\$ 2,193,277,156	80.25%	\$ 1,760,052,647	\$ 2,001,627,163	\$ 1,622,879,809
MEG02 - SSI & Related - Medicaid Only	\$ 2,247.28	450,397	\$ 1,012,169,676	80.25%	\$ 812,242,043	\$ 1,007,495,980	\$ 799,096,497
MEG03 - SSI & Related - Dual Eligible	\$ 2,141.98	433,319	\$ 928,159,496	80.25%	\$ 744,825,875	\$ 625,722,034	\$ 494,970,836
MEG08 Uncompensated Care Pool	NA	NA	\$ -	80.25%	\$ -	\$ -	\$ -
MEG09 HQII	NA	NA	\$ 12,000,000	80.25%	\$ 9,629,714	\$ 11,999,993	\$ 9,559,194
<b>Grand Total</b>			<b>\$ 4,145,606,329</b>		<b>\$ 3,326,750,279</b>	<b>\$ 3,646,845,170</b>	<b>\$ 2,926,506,336</b>

**Table 7.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 98)**

	DY 7 - PMPM	DY 7 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 7 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 04 - "217 Like" Medicaid Only	\$ 5,926.04	3,007	\$ 17,819,595	79.12%	\$ 14,098,167	\$ 12,139,659	\$ 9,603,953
MEG 05 - "217 Like" Dual Eligible	\$ 3,811.29	60,564	\$ 230,827,177	79.12%	\$ 182,621,446	\$ 191,823,587	\$ 151,763,800
<b>Grand Total</b>			<b>\$ 248,646,772</b>		<b>\$ 196,719,613</b>	<b>\$ 203,963,246</b>	<b>\$ 161,367,753</b>

**Table 7.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 99)**

	DY 7 - PMPM	DY 7 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 7 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 06 - VIII Group - Medicaid Expansion	\$ 772.92	3,276,975	\$ 2,532,826,556	90.29%	\$ 2,286,980,100	\$ 1,977,338,511	\$ 1,785,409,986
<b>Grand Total</b>			<b>\$ 2,532,826,556</b>		<b>\$ 2,286,980,100</b>	<b>\$ 1,977,338,511</b>	<b>\$ 1,785,409,986</b>

**Table 7.4: Supplemental Budget Neutrality Test 3: SUD/IMD (STC 100)**

	DY 7 - PMPM	DY 7 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 7 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG SUD/IMD	\$ 841.35	2,729	\$ 2,296,044	89.79%	\$ 2,061,629	\$ 4,652,746	\$ 4,177,723
<b>Grand Total</b>			<b>\$ 2,296,044</b>		<b>\$ 2,061,629</b>	<b>\$ 4,652,746</b>	<b>\$ 4,177,723</b>

**Table 7.5: DY 7 Assessment of Budget Neutrality (STC 93, 96, 105)**

Federal Share (Title XIX) Budget Neutrality Limit	\$ 3,326,750,279
Federal Share (Title XIX) Actual Reported	\$ 2,926,506,336
Excess Spending - Test 1	\$ -
Excess Spending - Test 2	\$ -
Excess Spending - Test 3	\$ 2,116,094
Total Actuals	\$ 2,926,506,336
Difference (Actuals - Limit)	\$ (400,243,943)
Percentage Difference	-12.0%

Notes:

1.) Member months as of July 12, 2022.

2.) As defined in STC 102 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY2023 Quarter 1 submission.

3.) Expenditures as reported on the CMS-64 Schedule C, FFY2023 Quarter 1. Report pulled on 02/13/2023.



**ATTACHMENT A**

**New Mexico Budget Neutrality Monitoring Spreadsheet**

**- Budget Neutrality Limit Analysis**

**DY 8**

Start Date: 01/01/2021

End Date: 12/31/2021

**Table 8.1: Budget Neutrality Limit DY 8 (Special Terms and Conditions (STC) 96)**

	DY 8 - PMPM	DY 8 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 8 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG01 - TANF & Related	\$ 495.62	5,135,237	\$ 2,545,131,859	82.51%	\$ 2,099,931,939	\$ 2,382,013,200	\$ 1,966,001,865
MEG02 - SSI & Related - Medicaid Only	\$ 2,339.42	461,469	\$ 1,079,570,713	82.51%	\$ 890,729,890	\$ 1,071,058,848	\$ 875,372,806
MEG03 - SSI & Related - Dual Eligible	\$ 2,229.80	436,229	\$ 972,702,749	82.51%	\$ 802,555,500	\$ 670,725,635	\$ 561,421,218
MEG08 Uncompensated Care Pool	NA	NA	\$ -	82.51%	\$ -	\$ -	\$ -
MEG09 HQII	NA	NA	\$ 12,000,000	82.51%	\$ 9,900,934	\$ 11,999,993	\$ 9,559,194
<b>Grand Total</b>			<b>\$ 4,609,405,320</b>		<b>\$ 3,803,118,263</b>	<b>\$ 4,135,797,676</b>	<b>\$ 3,412,355,083</b>

**Table 8.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 98)**

	DY 8 - PMPM	DY 8 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 8 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 04 - "217 Like" Medicaid Only	\$ 6,110.34	3,400	\$ 20,775,146	84.33%	\$ 17,519,224	\$ 11,711,889	\$ 9,766,272
MEG 05 - "217 Like" Dual Eligible	\$ 3,967.56	68,236	\$ 270,730,185	84.33%	\$ 228,300,805	\$ 244,480,896	\$ 206,275,464
<b>Grand Total</b>			<b>\$ 291,505,331</b>		<b>\$ 245,820,029</b>	<b>\$ 256,192,785</b>	<b>\$ 216,041,736</b>

**Table 8.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 99)**

	DY 8 - PMPM	DY 8 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 8 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 06 - VIII Group - Medicaid Expansion	\$ 809.24	3,505,822	\$ 2,837,062,260	90.68%	\$ 2,572,535,197	\$ 2,175,494,455	\$ 1,972,651,829
<b>Grand Total</b>			<b>\$ 2,837,062,260</b>		<b>\$ 2,572,535,197</b>	<b>\$ 2,175,494,455</b>	<b>\$ 1,972,651,829</b>

**Table 8.4: Supplemental Budget Neutrality Test 3: SUD/IMD (STC 100)**

	DY 8 - PMPM	DY 8 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 8 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG SUD/IMD	\$ 875.85	4,756	\$ 4,165,543	89.00%	\$ 3,707,163	\$ 6,505,245	\$ 5,789,403
<b>Grand Total</b>			<b>\$ 4,165,543</b>		<b>\$ 3,707,163</b>	<b>\$ 6,505,245</b>	<b>\$ 5,789,403</b>

**Table 8.5: DY 8 Assessment of Budget Neutrality (STC 93, 96, 105)**

Federal Share (Title XIX) Budget Neutrality Limit	\$ 3,803,118,263
Federal Share (Title XIX) Actual Reported	\$ 3,412,355,083
Excess Spending - Test 1	\$ -
Excess Spending - Test 2	\$ -
Excess Spending - Test 3	\$ 2,082,240
Total Actuals	\$ 3,412,355,083
Difference (Actuals - Limit)	\$ (390,763,180)
Percentage Difference	-10.3%

Notes:

1.) Member months as of January 18, 2023.

2.) As defined in STC 102 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY2023 Quarter 1 submission.

3.) Expenditures as reported on the CMS-64 Schedule C, FFY2023 Quarter 1. Report pulled on 02/13/2023.

**ATTACHMENT A**

**New Mexico Budget Neutrality Monitoring Spreadsheet**

**- Budget Neutrality Limit Analysis**

**DY 9**

Start Date: 01/01/2022

End Date: 12/31/2022

**Table 9.1: Budget Neutrality Limit DY 9 (Special Terms and Conditions (STC) 96)**

	DY 9 - PMPM	DY 9 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 9 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG01 - TANF & Related	\$ 514.45	5,446,728	\$ 2,802,069,220	77.15%	\$ 2,161,875,852	\$ 2,567,070,947	\$ 1,966,001,865
MEG02 - SSI & Related - Medicaid Only	\$ 2,535.34	473,533	\$ 1,200,567,156	77.15%	\$ 926,271,601	\$ 1,123,697,977	\$ 875,372,806
MEG03 - SSI & Related - Dual Eligible	\$ 2,416.39	445,676	\$ 1,076,927,030	77.15%	\$ 830,879,738	\$ 720,082,255	\$ 561,421,218
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	77.15%	\$ 53,150,066	\$ -	\$ -
MEG09 HQII	NA	NA	\$ 12,011,853	77.15%	\$ 9,267,485	\$ 11,999,993	\$ 9,559,194
<b>Grand Total</b>			<b>\$ 5,160,464,581</b>		<b>\$ 3,981,444,742</b>	<b>\$ 4,422,851,172</b>	<b>\$ 3,412,355,083</b>

**Table 9.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 98)**

	DY 9 - PMPM	DY 9 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 9 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 04 - "217 Like" Medicaid Only	\$ 6,300.37	4,087	\$ 25,749,612	77.58%	\$ 19,977,643	\$ 11,169,359	\$ 9,766,272
MEG 05 - "217 Like" Dual Eligible	\$ 4,130.23	69,732	\$ 288,009,198	77.58%	\$ 223,449,776	\$ 267,291,458	\$ 206,275,464
<b>Grand Total</b>			<b>\$ 313,758,811</b>		<b>\$ 243,427,420</b>	<b>\$ 278,460,817</b>	<b>\$ 216,041,736</b>

**Table 9.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 99)**

	DY 9 - PMPM	DY 9 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 9 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG 06 - VIII Group - Medicaid Expansion	\$ 847.28	3,528,416	\$ 2,989,556,308	85.02%	\$ 2,541,661,967	\$ 2,320,274,606	\$ 1,972,651,829
<b>Grand Total</b>			<b>\$ 2,989,556,308</b>		<b>\$ 2,541,661,967</b>	<b>\$ 2,320,274,606</b>	<b>\$ 1,972,651,829</b>

**Table 9.4: Supplemental Budget Neutrality Test 3: SUD/IMD (STC 100)**

	DY 9 - PMPM	DY 9 - Actual Reported Member Months <sup>1</sup>	Total Expenditure Budget Neutrality Limit [DY 9 - PMPM X Actual Member Months]	Composite FFP <sup>2</sup>	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
<b>MEG</b>							
MEG SUD/IMD	\$ 911.76	4,831	\$ 4,404,713	93.31%	\$ 4,109,888	\$ 6,204,709	\$ 5,789,403
<b>Grand Total</b>			<b>\$ 4,404,713</b>		<b>\$ 4,109,888</b>	<b>\$ 6,204,709</b>	<b>\$ 5,789,403</b>

**Table 9.5: DY 9 Assessment of Budget Neutrality (STC 93, 96, 105)**

Federal Share (Title XIX) Budget Neutrality Limit	\$ 3,981,444,742
Federal Share (Title XIX) Actual Reported	\$ 3,412,355,083
Excess Spending - Test 1	\$ -
Excess Spending - Test 2	\$ -
Excess Spending - Test 3	\$ 1,679,515
Total Actuals	\$ 3,412,355,083
Difference (Actuals - Limit)	\$ (569,089,659)
Percentage Difference	-14.3%

Notes:

1.) Member months as of Jan 18, 2023.

2.) As defined in STC 102 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY2023 Quarter 1 submission.

3.) Expenditures as reported on the CMS-64 Schedule C, FFY2023 Quarter 1. Report pulled on 02/13/2023.

Schedule C  
CMS 64 Waiver Expenditure Report  
Cumulative Data Ending Quarter/Year : 1/2023

Summary of Expenditures by Waiver Year  
Waiver: 11W00285

MAP Waivers

		Total Computable																									Total	Non-Add	
Waiver Name	A	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	Total	Non-Add	
MEG1-TANF & Related	0	1,486,786,187	1,533,690,296	1,547,091,441	1,576,788,678	1,562,700,537	1,713,228,412	2,001,627,163	2,382,033,200	2,567,070,947	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16,370,996,861	16,370,996,861	
MEG2-SSI Medicaid Only	0	824,991,985	882,936,445	866,977,418	845,978,765	795,492,785	865,733,422	1,007,495,980	1,071,058,848	1,123,697,977	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,460,680,040	5,460,680,040	
MEG3-SSI DUAL	0	570,643,867	584,265,571	609,500,283	564,285,856	558,419,629	557,054,910	625,722,034	670,725,635	720,082,255	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,846,880,040	4,846,880,040	
MEG4-217	0	6,662,064	5,591,208	7,580,640	12,512,314	12,068,447	11,383,232	12,139,659	17,711,889	11,169,359	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	90,818,812	90,818,812	
MEG5-217 DUAL	0	86,786,741	85,077,407	91,901,521	112,740,550	134,725,706	143,887,343	191,823,587	244,480,896	267,291,458	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,358,715,209	1,358,715,209	
MEG6-V8R GROUP	0	856,045,974	1,309,500,952	1,363,113,748	1,415,365,123	1,464,028,869	1,611,359,655	1,977,338,511	2,175,494,455	2,320,274,606	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14,492,521,893	14,492,521,893	
MEG6-UHC Uncompensated care	0	68,889,322	36,005,978	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	104,895,300	104,895,300	
MEG9-HQRI Hospital Quality Improve Incentive	0	0	2,824,462	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,824,462	2,824,462	
UC	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Uncompensated Care "UC" Pool	0	1	31,288,995	68,889,322	68,889,322	68,889,322	68,889,316	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	306,846,281	306,846,281	
Hospital Quality Improvement Incentive "HQRI" Pool	0	0	0	1,398,077	4,815,541	12,011,631	12,000,000	11,998,991	12,000,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	64,196,466	64,196,466	
Centennial Care 2.0 Medicaid SUD/MD	0	0	0	0	0	0	3,448,086	4,652,746	6,501,245	6,204,709	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20,810,786	20,810,786	
<b>Total</b>	<b>0</b>	<b>3,900,806,141</b>	<b>4,471,181,314</b>	<b>4,562,413,451</b>	<b>4,605,366,150</b>	<b>4,608,337,149</b>	<b>4,986,984,378</b>	<b>5,832,799,673</b>	<b>6,573,990,168</b>	<b>7,015,791,311</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>46,557,667,735</b>	<b>46,557,667,735</b>	
Check		3,900,806,141	4,471,181,314	4,562,413,451	4,605,366,150	4,608,337,149	4,986,984,378	5,832,799,673	6,573,990,168	7,015,791,311																			
Difference		0	0	0	0	0	0	0	0	0																			

		Total Computable																									Total	Non-Add	
Waiver Name	A	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	Total	Non-Add	
MEG1-TANF & Related	0	1,070,423,106	1,116,190,075	1,137,287,817	1,174,584,131	1,180,650,383	1,307,700,922	1,622,879,809	1,966,001,865	2,105,913,039	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12,681,631,147	12,681,631,147	
MEG2-SSI Medicaid Only	0	574,950,391	615,379,415	614,385,717	606,610,371	576,992,577	633,270,173	799,096,497	875,372,806	906,375,979	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,206,433,926	6,206,433,926	
MEG3-SSI DUAL	0	395,385,750	408,061,106	450,111,909	403,251,084	403,164,614	409,429,812	494,970,836	561,441,218	584,115,644	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,082,721,833	4,082,721,833	
MEG4-217	0	4,617,656	3,905,915	5,263,671	8,534,265	8,714,682	8,248,128	9,603,953	9,766,272	9,059,013	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	68,206,555	68,206,555	
MEG5-217 DUAL	0	80,154,448	59,416,310	64,866,189	80,515,170	97,261,654	104,198,687	151,763,800	206,276,464	217,339,883	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,041,791,605	1,041,791,605	
MEG6-V8R GROUP	0	856,020,190	1,309,439,632	1,362,204,050	1,346,725,720	1,378,998,025	1,500,422,424	1,795,409,986	1,972,651,829	2,100,810,396	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13,612,682,252	13,612,682,252	
MEG6-UHC Uncompensated care	0	47,671,411	25,207,785	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	72,879,196	72,879,196	
MEG9-HQRI Hospital Quality Improve Incentive	0	0	1,987,574	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,987,574	1,987,574	
UC	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Uncompensated Care "UC" Pool	0	1	21,781,306	48,608,306	49,178,612	50,084,411	50,869,441	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	220,522,077	220,522,077	
Hospital Quality Improvement Incentive "HQRI" Pool	0	0	0	5,234,511	6,368,511	8,679,765	9,127,363	9,559,194	9,589,200	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	48,558,544	48,558,544	
Centennial Care 2.0 Medicaid SUD/MD	0	0	0	0	0	0	3,183,671	4,177,723	5,789,403	5,478,146	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	18,628,943	18,628,943	
<b>Total</b>	<b>0</b>	<b>3,009,422,953</b>	<b>3,565,370,178</b>	<b>3,668,052,170</b>	<b>3,675,767,864</b>	<b>3,704,546,111</b>	<b>4,020,450,421</b>	<b>4,877,461,798</b>	<b>5,606,868,057</b>	<b>5,929,102,100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>38,038,412,709</b>	<b>38,038,412,709</b>	
Check		3,009,422,953	3,565,370,178	3,668,052,170	3,675,767,864	3,704,546,111	4,020,450,421	4,877,461,798	5,606,868,057	5,929,102,100																			
Difference		0	0	0	0	0	0	0	0	0																			

M-CHIP Waivers

		Total Computable																									Total	Non-Add
Waiver Name	A	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	Total	Non-Add
MEG7-CHIP GROUP	0	84,356,751	123,819,527	118,473,862	106,619,834	97,506,744	105,963,670	118,376,144	127,092,413	138,797,848	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,021,006,793	1,021,006,793
<b>Total</b>	<b>0</b>	<b>84,356,751</b>	<b>123,819,527</b>	<b>118,473,862</b>	<b>106,619,834</b>	<b>97,506,744</b>	<b>105,963,670</b>	<b>118,376,144</b>	<b>127,092,413</b>	<b>138,797,848</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,021,006,793</b>	<b>1,021,006,793</b>

Federal Share

		Total Computable																									Total	Non-Add
Waiver Name	A	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	Total	Non-Add
MEG7-CHIP GROUP	0	66,272,555	105,308,829	118,473,862	106,619,822	97,505,399	103,493,521	110,807,202	108,028,549	117,978,178	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	934,487,717	934,487,717
<b>Total</b>	<b>0</b>	<b>66,272,555</b>	<b>105,308,829</b>	<b>118,473,862</b>	<b>106,619,822</b>	<b>97,505,399</b>	<b>103,493,521</b>	<b>110,807,202</b>	<b>108,028,549</b>	<b>117,978,178</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>934,487,717</b>	<b>934,487,717</b>

ADM Waivers

		Total Computable																									Total	Non-Add
Waiver Name	A	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20							

MEMBER MONTHS	CY 2016 Quarter					CY 2017 Quarter					CY 2018 Quarter					CY 2019 Quarter					CY 2020 Quarter					CY 2021 Quarter					CY 2022 Quarter									
	1	2	3	4	Total	1	2	3	4	Total	1	2	3	4	Total	1	2	3	4	Total	1	2	3	4	Total	1	2	3	4	Total	1	2	3	4	Total					
<b>Eligibility Group</b>																																								
Population 1 - TANF and Related	1,130,778	1,150,300	1,169,603	1,170,974	<b>4,631,656</b>	1,180,160	1,170,146	1,145,575	1,127,594	<b>4,623,475</b>	1,129,981	1,116,304	1,090,944	1,085,709	<b>4,422,938</b>	1,078,850	1,077,954	1,079,500	1,077,337	<b>4,333,641</b>	1,080,904	1,121,423	1,179,371	1,211,774	<b>4,593,472</b>	1,242,048	1,264,158	1,303,219	1,325,812	<b>5,135,237</b>	1,344,624	1,359,770	1,370,455	1,371,879	<b>5,446,728</b>					
Population 2 - SSI and Related - Medicaid Only	123,597	122,633	123,728	123,619	<b>493,577</b>	124,408	125,136	122,027	116,227	<b>487,798</b>	116,043	115,944	114,284	113,555	<b>459,830</b>	112,787	112,246	111,860	111,577	<b>448,460</b>	112,231	111,666	112,949	113,551	<b>450,397</b>	114,465	115,067	115,713	116,234	<b>461,469</b>	116,792	118,421	118,562	119,758	<b>473,533</b>					
Population 3 - SSI and Related - Dual	110,017	111,379	113,425	112,980	<b>447,801</b>	111,537	111,883	111,273	108,378	<b>442,071</b>	108,037	108,101	108,318	108,264	<b>432,715</b>	108,143	108,378	108,509	108,385	<b>433,415</b>	108,324	108,074	108,273	108,648	<b>433,319</b>	107,956	108,407	109,541	110,325	<b>436,229</b>	110,581	111,416	111,879	111,800	<b>445,676</b>					
Population 4 - 217/like Group - Medicaid Only	566	1054	564	793	<b>2,987</b>	1,113	1,000	857	801	<b>3,792</b>	830	835	853	789	<b>3,307</b>	754	751	746	739	<b>2,990</b>	726	762	775	746	<b>3,007</b>	779	851	846	920	<b>3,400</b>	968	970	988	1,161	<b>4,087</b>					
Population 5 - 217/like Group - Dual	6,938	8,390	7,911	8,627	<b>31,866</b>	9,714	10,023	10,181	10,491	<b>40,409</b>	11,550	11,700	12,267	12,311	<b>47,828</b>	12,167	12,423	12,329	13,150	<b>50,769</b>	14,040	14,733	15,545	16,356	<b>60,674</b>	16,706	16,946	17,235	17,339	<b>68,226</b>	17,276	17,539	17,484	17,437	<b>69,742</b>					
Population 6 - VIE Group (responsons)	753,995	761,293	778,625	784,161	<b>3,078,074</b>	806,114	802,658	773,108	767,010	<b>3,143,890</b>	762,410	756,109	747,006	751,639	<b>3,019,164</b>	759,129	765,866	767,811	778,089	<b>3,070,895</b>	784,171	814,819	827,549	850,436	<b>3,276,975</b>	866,005	872,350	880,193	887,274	<b>3,505,822</b>	888,087	880,382	881,564	878,383	<b>3,528,416</b>					
Population 7 - CHP Group	151,824	140,006	134,983	132,292	<b>559,105</b>	133,011	130,727	123,340	117,212	<b>504,310</b>	117,719	113,236	109,585	111,810	<b>452,350</b>	113,954	111,660	112,480	115,511	<b>453,605</b>	118,810	114,070	118,310	119,859	<b>471,049</b>	124,273	128,784	118,258	121,188	<b>492,503</b>	124,269	129,106	131,435	134,834	<b>519,644</b>					
Population 10 - SUD IMD																93	324		92	<b>86</b>	600	621	651	848	<b>2,729</b>	1,192	1,305	1,098	1,161	<b>4,756</b>	1,309	1,337	1,491	694	<b>4,831</b>					
<b>Total</b>	<b>2,277,716</b>	<b>2,295,065</b>	<b>2,328,839</b>	<b>2,333,446</b>	<b>9,235,066</b>	<b>2,366,097</b>	<b>2,351,579</b>	<b>2,286,361</b>	<b>2,242,713</b>	<b>9,246,750</b>	<b>2,246,065</b>	<b>2,222,349</b>	<b>2,183,247</b>	<b>2,186,081</b>	<b>8,837,742</b>	<b>2,185,872</b>	<b>2,189,601</b>	<b>2,193,826</b>	<b>2,205,069</b>	<b>8,774,368</b>	<b>2,219,813</b>	<b>2,286,158</b>	<b>2,363,423</b>	<b>2,422,118</b>	<b>9,291,512</b>	<b>2,473,424</b>	<b>2,507,872</b>	<b>2,546,093</b>	<b>2,580,263</b>	<b>10,107,652</b>	<b>2,603,906</b>	<b>2,618,937</b>	<b>2,633,858</b>	<b>2,635,946</b>	<b>10,492,647</b>					

Jan 18, 2023 at 11:45:10 AM

**Table #9 - Waiver Year 8 Expenditures**

<b>Medicaid Eligibility Group (MEG)</b>	<b>Program Expenditures</b>	<b>Administrative Expenditures</b>
Admin		<b>229,970,730</b>
MEG01 - TANF & Related	\$ 2,567,070,947	\$ -
MEG02 - SSI & Related - Medicaid Only	\$ 1,123,697,977	\$ -
MEG03 - SSI & Related - Dual Eligible	\$ 720,082,255	\$ -
MEG04 - "217 Like" Medicaid Only	\$ 11,169,359	\$ -
MEG05 - "217 Like" Dual Eligible	\$ 267,291,458	\$ -
MEG06 - VIII Group - Medicaid Expansion	\$ 2,320,274,606	\$ -
MEG07 - CHIP	\$ 138,797,848	\$ -
Uncompensated Care "UC" Pool	\$ -	N/A
Hospital Quality Improvement Incentive "HQII" Pool	\$ -	N/A
Centennial Care 2.0 Medicaid SUD/IMD	\$ 6,204,709	N/A
<b>Grand Total</b>	<b>\$ 7,154,589,159</b>	<b>\$ 229,970,730</b>

Source: New Mexico CMS 64 Submission, FFY 2023 Quarter 1, 2023.