

ATTACHMENT A

New Mexico Budget Neutrality Monitoring Spreadsheet

- PMPM Analysis

DY 6

Start Date: 01/01/2019

End Date: 12/31/2019

Quarter 1

Start Date:1/1/2019

End Date: 3/31/2019

Table 3 - PMPM Summary by Demonstration Year and MEG

MEG01 TANF & Related	DY 01 Cost Estimates	DY1 YTD - Actuals ²	DY 02 Cost Estimates	DY2 YTD - Actuals ²	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
MMS ¹	4,727,584	4,517,149	4,861,847	4,454,290	5,020,343	4,621,656	5,092,636	4,623,475	5,132,359	4,422,198	4,974,487	1,075,174
PMPM	\$ 385.80	\$ 329.14	\$ 400.77	\$ 344.31	\$ 416.32	\$ 335.59	\$ 432.47	\$ 343.29	\$ 449.25	\$ 334.02	\$ 344.61	\$ 309.60
Dollars	\$ 1,823,911,159	\$ 1,486,761,008	\$ 1,948,487,793	\$ 1,533,676,361	\$ 2,090,074,424	\$ 1,551,002,476	\$ 2,202,434,150	\$ 1,587,209,656	\$ 2,305,734,126	\$ 1,477,110,678	\$ 1,714,233,973	\$ 332,875,945
MEG02 SSI & Related - Medicaid Only	DY 01 Cost Estimates	DY1 YTD - Actuals	DY 02 Cost Estimates	DY2 YTD - Actuals ²	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
MMS ¹	508,700	497,958	513,736	494,529	518,976	493,577	524,737	487,798	530,824	460,232	499,659	113,585
PMPM	\$ 1,763.90	\$ 1,656.68	\$ 1,842.83	\$ 1,785.40	\$ 1,925.21	\$ 1,756.62	\$ 2,008.00	\$ 1,731.52	\$ 2,094.34	\$ 1,723.72	\$ 1,881.37	\$ 1,717.00
Dollars	\$ 897,298,062	\$ 824,959,005	\$ 946,727,393	\$ 882,930,360	\$ 999,138,707	\$ 867,024,959	\$ 1,053,669,000	\$ 844,630,483	\$ 1,111,724,897	\$ 793,311,286	\$ 940,044,519	\$ 195,025,393
MEG03 SSI & Related - Dual Eligible	DY 01 Cost Estimates	DY1 YTD - Actuals	DY 02 Cost Estimates	DY2 YTD - Actuals ²	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
MMS ¹	373,823	428,025	380,215	435,140	386,831	447,801	393,832	443,071	401,197	431,739	467,635	105,974
PMPM	\$ 1,780.77	\$ 1,333.20	\$ 1,857.34	\$ 1,342.71	\$ 1,937.21	\$ 1,361.19	\$ 2,020.51	\$ 1,273.61	\$ 2,107.39	\$ 1,283.69	\$ 1,374.39	\$ 1,286.48
Dollars	\$ 665,692,378	\$ 570,643,867	\$ 706,189,973	\$ 584,268,601	\$ 749,372,219	\$ 609,543,096	\$ 795,742,098	\$ 564,297,445	\$ 845,479,241	\$ 554,220,460	\$ 642,711,733	\$ 136,333,749
MEG04 "217 Like" Medicaid Only	DY 01 Cost Estimates	DY1 YTD - Actuals	DY 02 Cost Estimates	DY2 YTD - Actuals ²	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
MMS ¹	5,841	2,799	5,898	2,382	5,959	2,987	6,025	3,797	6,095	3,335	4,087	897
PMPM	\$ 4,936.92	\$ 2,380.16	\$ 5,090.46	\$ 2,347.27	\$ 5,248.77	\$ 2,537.88	\$ 5,412.01	\$ 3,295.62	\$ 5,580.32	\$ 3,606.81	\$ 5,747.30	\$ 3,080.44
Dollars	\$ 28,834,295	\$ 6,662,076	\$ 30,025,379	\$ 5,591,208	\$ 31,274,952	\$ 7,580,640	\$ 32,605,551	\$ 12,513,467	\$ 34,009,571	\$ 12,028,697	\$ 23,490,632	\$ 2,763,152
MEG05 "217 Like" Dual Eligible	DY 01 Cost Estimates	DY1 YTD - Actuals	DY 02 Cost Estimates	DY2 YTD - Actuals ²	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
MMS ¹	27,935	26,895	28,413	27,063	28,907	31,866	29,430	40,409	29,981	47,407	43,493	11,924
PMPM	\$ 1,776.90	\$ 3,226.87	\$ 1,853.31	\$ 3,143.68	\$ 1,933.00	\$ 2,884.00	\$ 2,016.12	\$ 2,789.98	\$ 2,102.81	\$ 2,830.60	\$ 3,661.18	\$ 2,893.76
Dollars	\$ 49,637,569	\$ 86,786,741	\$ 52,657,285	\$ 85,077,407	\$ 55,877,183	\$ 91,901,521	\$ 59,334,769	\$ 112,740,295	\$ 63,043,435	\$ 134,190,262	\$ 159,236,444	\$ 34,505,232
MEG06 VIII Group - Medicaid Expansion	DY 01 Cost Estimates	DY1 YTD - Actuals	DY 02 Cost Estimates	DY2 YTD - Actuals ²	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
MMS ¹	1,632,968	1,887,728	1,788,895	2,748,632	1,800,808	3,078,074	1,763,748	3,143,890	1,773,299	3,018,580	3,299,404	756,695
PMPM	\$ 577.87	\$ 453.48	\$ 607.34	\$ 476.41	\$ 638.31	\$ 442.83	\$ 670.87	\$ 450.89	\$ 705.08	\$ 483.26	\$ 738.22	\$ 470.26
Dollars	\$ 943,638,928	\$ 856,040,269	\$ 1,086,464,733	\$ 1,309,470,613	\$ 1,149,478,718	\$ 1,363,072,290	\$ 1,183,239,734	\$ 1,417,553,643	\$ 1,250,319,546	\$ 1,458,756,082	\$ 2,435,685,299	\$ 355,844,644
MEG08 Uncompensated Care Pool	DY 01 Cost Estimates	DY1 YTD - Actuals	DY 02 Cost Estimates	DY2 YTD - Actuals	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
Total Allotment	\$ 68,889,323	\$ 68,889,323	\$ 68,889,323	\$ 67,294,973	\$ 68,889,323	\$ 68,889,323	\$ 68,889,323	\$ 68,889,324	\$ 68,889,323	\$ 68,889,323	\$ 68,889,323	\$ -
MEG09 Hospital Quality Improvement Incentive Pool	DY 01 Cost Estimates	DY1 YTD - Actuals	DY 02 Cost Estimates	DY2 YTD - Actuals	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
Total Allotment	\$ -	\$ -	\$ 2,824,462	\$ 2,824,462	\$ 5,764,727	\$ 7,359,077	\$ 8,825,544	\$ 8,825,541	\$ 12,011,853	\$ 12,011,853	\$ 12,000,000	\$ -

Notes:
 1.) Actual member months for Demonstration Year 4 include the reported member months for this Centennial Care Quarterly Report, Section XIV and Section IX.
 2.) Expenditures as reported on the CMS-64 Schedule C, FFY2019 Quarter 2. Report pulled on 5/06/2019.

ATTACHMENT A

New Mexico Budget Neutrality Monitoring Spreadsheet

- Budget Neutrality Limit Analysis

DY 1

Start Date: 01/01/2014

End Date: 12/31/2014

Table 1.1: Budget Neutrality Limit DY 1 (Special Terms and Conditions (STC) 106)

MEG	DY 1 - PMPM	DY 1 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 1 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG01 - TANF & Related	\$ 385.80	4,517,149	\$ 1,742,724,978	70.77%	\$ 1,233,316,823	\$ 1,486,761,008	\$ 1,070,403,042
MEG02 - SSI & Related - Medicaid Only	\$ 1,763.90	497,958	\$ 878,350,269	70.77%	\$ 621,603,625	\$ 824,959,005	\$ 574,925,356
MEG03 - SSI & Related - Dual Eligible	\$ 1,780.77	428,025	\$ 762,214,336	70.77%	\$ 539,414,868	\$ 570,643,867	\$ 395,585,750
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	70.77%	\$ 48,752,593	\$ 68,889,323	\$ 47,671,412
MEG09 HQII	NA	NA	\$ -	70.77%	\$ -	\$ -	\$ -
Grand Total			\$ 3,452,178,905		\$ 2,443,087,908	\$ 2,951,253,203	\$ 2,088,585,560

Table 1.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 107)

MEG	DY 1 - PMPM	DY 1 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 1 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG 04 - "217 Like" Medicaid Only	\$ 4,936.92	2,799	\$ 13,818,444	69.31%	\$ 9,577,968	\$ 6,662,076	\$ 4,617,664
MEG 05 - "217 Like" Dual Eligible	\$ 1,776.90	26,895	\$ 47,789,749	69.31%	\$ 33,124,475	\$ 86,786,741	\$ 60,154,448
Grand Total			\$ 61,608,193		\$ 42,702,443	\$ 93,448,817	\$ 64,772,112

Table 1.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 108)

MEG	DY 1 - PMPM	DY 1 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 1 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG 06 - VIII Group - Medicaid Expansion	\$ 577.87	1,887,728	\$ 1,090,856,222	100.00%	\$ 1,090,824,523	\$ 856,040,269	\$ 856,015,394
Grand Total			\$ 1,090,856,222		\$ 1,090,824,523	\$ 856,040,269	\$ 856,015,394

Table 1.4: DY 1 Assessment of Budget Neutrality (STC 102, 104, 111)

Federal Share (Title XIX) Budget Neutrality Limit	\$ 2,443,087,908
Federal Share (Title XIX) Actual Reported	\$ 2,088,585,560
Excess Spending - Test 1	\$ 22,069,669
Excess Spending - Test 2	\$ -
Total Actuals	\$ 2,110,655,229
Difference (Actuals - Limit)	\$ (332,432,679)
Percentage Difference	-13.6%

Notes:

- 1.) Member months as of November 3, 2015.
- 2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY19 Quarter 2 submission.
- 3.) Expenditures as reported on the CMS-64 Schedule C, FFY19 Quarter 2. Report pulled on 5/6/19.

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New Mexico Budget Neutrality Monitoring Spreadsheet

- Budget Neutrality Limit Analysis

DY 2

Start Date: 01/01/2015

End Date: 12/31/2015

Table 2.1: Budget Neutrality Limit DY 2 (Special Terms and Conditions (STC) 106)

MEG	DY 2 - PMPM	DY 2 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 2 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG01 - TANF & Related	\$ 400.77	4,454,290	\$ 1,785,150,637	71.40%	\$ 1,274,541,448	\$ 1,533,676,361	\$ 1,116,180,307
MEG02 - SSI & Related - Medicaid Only	\$ 1,842.83	494,529	\$ 911,332,877	71.40%	\$ 650,663,031	\$ 882,930,360	\$ 619,373,502
MEG03 - SSI & Related - Dual Eligible	\$ 1,857.34	435,140	\$ 808,202,928	71.40%	\$ 577,031,488	\$ 584,268,601	\$ 408,063,261
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	71.40%	\$ 49,184,811	\$ 67,294,973	\$ 46,989,091
MEG09 HQII	NA	NA	\$ 2,824,462	71.40%	\$ 2,016,577	\$ 2,824,462	\$ 1,987,574
Grand Total			\$ 3,576,400,227		\$ 2,553,437,356	\$ 3,070,994,757	\$ 2,192,593,735

Table 2.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 107)

MEG	DY 2 - PMPM	DY 2 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 2 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG 04 - "217 Like" Medicaid Only	\$ 5,090.46	2,382	\$ 12,125,476	69.84%	\$ 8,468,468	\$ 5,591,208	\$ 3,906,915
MEG 05 - "217 Like" Dual Eligible	\$ 1,853.31	27,063	\$ 50,156,129	69.84%	\$ 35,029,186	\$ 85,077,407	\$ 59,416,310
Grand Total			\$ 62,281,604		\$ 43,497,654	\$ 90,668,615	\$ 63,323,225

Table 2.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 108)

MEG	DY 2 - PMPM	DY 2 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 2 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG 06 - VIII Group - Medicaid Expansion	\$ 607.34	2,748,632	\$ 1,669,354,159	100.00%	\$ 1,669,279,020	\$ 1,309,470,613	\$ 1,309,411,673
Grand Total			\$ 1,669,354,159		\$ 1,669,279,020	\$ 1,309,470,613	\$ 1,309,411,673

Table 2.4: DY 2 Assessment of Budget Neutrality (STC 102, 104, 111)

Federal Share (Title XIX) Budget Neutrality Limit	\$ 2,553,437,356
Federal Share (Title XIX) Actual Reported	\$ 2,192,593,735
Excess Spending - Test 1	\$ 19,825,571
Excess Spending - Test 2	\$ -
Total Actuals	\$ 2,212,419,306
Difference (Actuals - Limit)	\$ (341,018,049)
Percentage Difference	-13.4%

Notes:

- 1.) Member months as of November 10, 2016.
- 2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY19 Quarter 2 submission.
- 3.) Expenditures as reported on the CMS-64 Schedule C, FFY19 Quarter 2. Report pulled on 5/6/19.

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New Mexico Budget Neutrality Monitoring Spreadsheet

- Budget Neutrality Limit Analysis

DY 3

Start Date: 01/01/2016

End Date: 12/31/2016

Table 3.1: Budget Neutrality Limit DY 3 (Special Terms and Conditions (STC) 106)

MEG	DY 3 - PMPM	DY 3 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 3 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG01 - TANF & Related	\$ 416.32	4,621,656	\$ 1,924,092,463	72.14%	\$ 1,388,109,231	\$ 1,551,002,476	\$ 1,140,803,238
MEG02 - SSI & Related - Medicaid Only	\$ 1,925.21	493,577	\$ 950,239,887	72.14%	\$ 685,537,096	\$ 867,024,959	\$ 614,417,916
MEG03 - SSI & Related - Dual Eligible	\$ 1,937.21	447,801	\$ 867,484,358	72.14%	\$ 625,834,293	\$ 609,543,096	\$ 430,142,197
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	72.14%	\$ 49,699,226	\$ 68,889,323	\$ 48,608,306
MEG09 HQII	NA	NA	\$ 5,764,727	72.14%	\$ 4,158,881	\$ 7,359,077	\$ 5,234,511
Grand Total			\$ 3,816,470,759		\$ 2,753,338,726	\$ 3,103,818,931	\$ 2,239,206,168

Table 3.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 107)

MEG	DY 3 - PMPM	DY 3 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 3 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG 04 - "217 Like" Medicaid Only	\$ 5,248.77	2,987	\$ 15,678,086	70.59%	\$ 11,066,436	\$ 7,580,640	\$ 5,353,671
MEG 05 - "217 Like" Dual Eligible	\$ 1,933.00	31,866	\$ 61,596,973	70.59%	\$ 43,478,457	\$ 91,901,521	\$ 64,866,189
Grand Total			\$ 77,275,059		\$ 54,544,893	\$ 99,482,161	\$ 70,219,860

Table 3.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 108)

MEG	DY 3 - PMPM	DY 3 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 3 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG 06 - VIII Group - Medicaid Expansion	\$ 638.31	3,078,074	\$ 1,964,773,916	99.93%	\$ 1,963,469,514	\$ 1,363,072,290	\$ 1,362,167,354
Grand Total			\$ 1,964,773,916		\$ 1,963,469,514	\$ 1,363,072,290	\$ 1,362,167,354

Table 3.4: DY 3 Assessment of Budget Neutrality (STC 102, 104, 111)

Federal Share (Title XIX) Budget Neutrality Limit	\$ 2,753,338,726
Federal Share (Title XIX) Actual Reported	\$ 2,239,206,168
Excess Spending - Test 1	\$ 15,674,967
Excess Spending - Test 2	\$ -
Total Actuals	\$ 2,254,881,135
Difference (Actuals - Limit)	\$ (498,457,591)
Percentage Difference	-18.1%

Notes:

- 1.) Member months as of October 3, 2017.
- 2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY19 Quarter 2 submission.
- 3.) Expenditures as reported on the CMS-64 Schedule C, FFY19 Quarter 2. Report pulled on 5/6/19.

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New Mexico Budget Neutrality Monitoring Spreadsheet

- Budget Neutrality Limit Analysis

DY 4

Start Date: 01/01/2017

End Date: 12/31/2017

Table 4.1: Budget Neutrality Limit DY 4 (Special Terms and Conditions (STC) 106)

MEG	DY 4 - PMPM	DY 4 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 4 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG01 - TANF & Related	\$ 432.47	4,623,475	\$ 1,999,533,921	72.98%	\$ 1,459,222,240	\$ 1,587,209,656	\$ 1,179,293,907
MEG02 - SSI & Related - Medicaid Only	\$ 2,008.00	487,798	\$ 979,495,999	72.98%	\$ 714,817,753	\$ 844,630,483	\$ 605,526,023
MEG03 - SSI & Related - Dual Eligible	\$ 2,020.51	443,071	\$ 895,229,176	72.98%	\$ 653,321,411	\$ 564,297,445	\$ 402,872,884
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	72.98%	\$ 50,274,132	\$ 68,889,324	\$ 49,178,366
MEG09 HQII	NA	NA	\$ 8,825,544	72.98%	\$ 6,440,716	\$ 8,825,541	\$ 6,368,511
Grand Total			\$ 3,951,973,963		\$ 2,884,076,252	\$ 3,073,852,449	\$ 2,243,239,691

Table 4.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 107)

MEG	DY 4 - PMPM	DY 4 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 4 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG 04 - "217 Like" Medicaid Only	\$ 5,412.01	3,797	\$ 20,549,402	71.42%	\$ 14,675,374	\$ 12,513,467	\$ 8,935,097
MEG 05 - "217 Like" Dual Eligible	\$ 2,016.12	40,409	\$ 81,469,347	71.42%	\$ 58,181,405	\$ 112,740,295	\$ 80,514,986
Grand Total			\$ 102,018,749		\$ 72,856,778	\$ 125,253,762	\$ 89,450,083

Table 4.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 108)

MEG	DY 4 - PMPM	DY 4 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 4 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG 06 - VIII Group - Medicaid Expansion	\$ 670.87	3,143,890	\$ 2,109,131,150	95.16%	\$ 2,007,009,127	\$ 1,417,553,643	\$ 1,348,917,112
Grand Total			\$ 2,109,131,150		\$ 2,007,009,127	\$ 1,417,553,643	\$ 1,348,917,112

Table 4.4: DY 4 Assessment of Budget Neutrality (STC 102, 104, 111)

Federal Share (Title XIX) Budget Neutrality Limit	\$ 2,884,076,252
Federal Share (Title XIX) Actual Reported	\$ 2,243,239,691
Excess Spending - Test 1	\$ 16,593,305
Excess Spending - Test 2	\$ -
Total Actuals	\$ 2,259,832,996
Difference (Actuals - Limit)	\$ (624,243,256)
Percentage Difference	-21.6%

Notes:

- 1.) Member months as of October 4, 2018.
- 2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY19 Quarter 1 submission.
- 3.) Expenditures as reported on the CMS-64 Schedule C, FFY19 Quarter 2. Report pulled on 5/6/19.

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New Mexico Budget Neutrality Monitoring Spreadsheet

- Budget Neutrality Limit Analysis

DY 5

Start Date: 01/01/2018

End Date: 12/31/2018

Table 5.1: Budget Neutrality Limit DY 5 (Special Terms and Conditions (STC) 106)

MEG	DY 5 - PMPM	DY 5 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 5 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG01 - TANF & Related	\$ 449.25	4,422,198	\$ 1,986,691,288	73.10%	\$ 1,452,198,442	\$ 1,477,110,678	\$ 1,090,125,719
MEG02 - SSI & Related - Medicaid Only	\$ 2,094.34	460,232	\$ 963,881,780	73.10%	\$ 704,562,218	\$ 793,311,286	\$ 575,180,064
MEG03 - SSI & Related - Dual Eligible	\$ 2,107.39	431,739	\$ 909,843,071	73.10%	\$ 665,061,904	\$ 554,220,460	\$ 400,132,464
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	73.10%	\$ 50,355,568	\$ 68,889,323	\$ 49,727,759
MEG09 HQII	NA	NA	\$ 12,011,853	73.10%	\$ 8,780,224	\$ 12,011,853	\$ 8,679,765
Grand Total			\$ 3,941,317,315		\$ 2,880,958,355	\$ 2,905,543,600	\$ 2,123,845,771

Table 5.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 107)

MEG	DY 5 - PMPM	DY 5 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 5 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG 04 - "217 Like" Medicaid Only	\$ 5,580.32	3,335	\$ 18,610,379	72.19%	\$ 13,435,536	\$ 12,028,697	\$ 8,685,798
MEG 05 - "217 Like" Dual Eligible	\$ 2,102.81	47,407	\$ 99,688,007	72.19%	\$ 71,968,538	\$ 134,190,262	\$ 96,875,192
Grand Total			\$ 118,298,386		\$ 85,404,074	\$ 146,218,959	\$ 105,560,990

Table 5.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 108)

MEG	DY 5 - PMPM	DY 5 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 5 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG 06 - VIII Group - Medicaid Expansion	\$ 705.08	3,018,580	\$ 2,128,343,149	94.22%	\$ 2,005,363,934	\$ 1,458,756,082	\$ 1,374,466,724
Grand Total			\$ 2,128,343,149		\$ 2,005,363,934	\$ 1,458,756,082	\$ 1,374,466,724

Table 5.4: DY 5 Assessment of Budget Neutrality (STC 102, 104, 111)

Federal Share (Title XIX) Budget Neutrality Limit	\$ 2,880,958,355
Federal Share (Title XIX) Actual Reported	\$ 2,123,845,771
Excess Spending - Test 1	\$ 20,156,916
Excess Spending - Test 2	\$ -
Total Actuals	\$ 2,144,002,687
Difference (Actuals - Limit)	\$ (736,955,668)
Percentage Difference	-25.6%

Notes:

- 1.) Member months as of April 2, 2019.
- 2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY19 Quarter 2 submission.
- 3.) Expenditures as reported on the CMS-64 Schedule C, FFY19 Quarter 2. Report pulled on 5/6/19.

ATTACHMENT A

**New Mexico Budget Neutrality Monitoring Spreadsheet
- Budget Neutrality Limit Analysis**

DY 6

Start Date: 01/01/2019

End Date: 12/31/2019

Table 6.1: Budget Neutrality Limit DY 5 (Special Terms and Conditions (STC) 97)

	DY 6 - PMPM	DY 6 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 6 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG01 - TANF & Related	\$ 344.61	1,075,174	\$ 370,510,540	72.96%	\$ 270,340,864	\$ 332,875,945	\$ 244,682,584
MEG02 - SSI & Related - Medicaid Only	\$ 1,881.37	113,585	\$ 213,695,726	72.96%	\$ 155,921,846	\$ 195,025,393	\$ 141,457,905
MEG03 - SSI & Related - Dual Eligible	\$ 1,374.39	105,974	\$ 145,649,437	72.96%	\$ 106,272,266	\$ 136,333,749	\$ 98,514,786
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	72.96%	\$ 50,264,695	\$ -	\$ -
MEG09 HQII	NA	NA	\$ 12,011,853	72.96%	\$ 8,764,379	\$ -	\$ -
Grand Total			\$ 810,756,879		\$ 591,564,050	\$ 664,235,087	\$ 484,655,275

Table 6.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 99)

	DY 6 - PMPM	DY 6 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 6 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG 04 - "217 Like" Medicaid Only	\$ 5,747.30	897	\$ 5,155,325	72.26%	\$ 3,725,238	\$ 2,763,152	\$ 1,996,654
MEG 05 - "217 Like" Dual Eligible	\$ 3,661.18	11,924	\$ 43,655,969	72.26%	\$ 31,545,804	\$ 34,505,232	\$ 24,933,481
Grand Total			\$ 48,811,294		\$ 35,271,042	\$ 37,268,384	\$ 26,930,135

Table 6.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 100)

	DY 6 - PMPM	DY 6 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 6 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG 06 - VIII Group - Medicaid Expansion	\$ 738.22	756,695	\$ 558,607,170	93.23%	\$ 520,810,502	\$ 355,844,644	\$ 331,767,363
Grand Total			\$ 558,607,170		\$ 520,810,502	\$ 355,844,644	\$ 331,767,363

Table 6.4: Supplemental Budget Neutrality Test 3: SUD/IMD (STC 101)

	DY 6 - PMPM	DY 6 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 6 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG 06 - VIII Group - Medicaid Expansion	\$ -	0	\$ -	0.00%	\$ -	DY6	
Grand Total			\$ -		\$ -	\$ -	\$ -

Table 6.5: DY 6 Assessment of Budget Neutrality (STC 93, 96, 105)

Federal Share (Title XIX) Budget Neutrality Limit	\$ 591,564,050
Federal Share (Title XIX) Actual Reported	\$ 484,655,275
Excess Spending - Test 1	\$ -
Excess Spending - Test 2	\$ -
Excess Spending - Test 3	\$ -
Total Actuals	\$ 484,655,275
Difference (Actuals - Limit)	\$ (106,908,775)
Percentage Difference	-18.1%

Notes:

- 1.) Member months as of April 2, 2019.
- 2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY19 Quarter 2 submission.
- 3.) Expenditures as reported on the CMS-64 Schedule C, FFY19 Quarter 2. Report pulled on 5/6/19.

MAP Waivers

Waiver Name	A	01	02	03	04
MEG1-TANF & Related	0	1,486,761,008	1,533,676,361	1,551,002,476	1,587,209,656
MEG2- SSI Medicaid Only	0	824,959,005	882,930,360	867,024,959	844,630,483
MEG3- SSI DUAL	0	570,643,867	584,268,601	609,543,096	564,297,445
MEG4-217	0	6,662,076	5,591,208	7,580,640	12,513,467
MEG5- 217 DUAL	0	86,786,741	85,077,407	91,901,521	112,740,295
MEG6-VIII GROUP	0	856,040,269	1,309,470,613	1,363,072,290	1,417,553,643
MEG8-UHC-Uncompensated care	0	68,889,322	36,005,978	0	0
MEG9-HQII-Hospital Quality Improve Incentive	0	0	2,824,462	0	0
UC	0	0	0	0	0
Uncompensated Care "UC" Pool	0	1	31,288,995	68,889,323	68,889,324
Hospital Quality Improvement Incentive "HQII" Pool	0	0	0	7,359,077	8,825,541
Total	0	3,900,742,289	4,471,133,985	4,566,373,382	4,616,659,854

Waiver Name	A	01	02	03	04
MEG1-TANF & Related	0	1,070,403,042	1,116,180,307	1,140,803,238	1,179,293,907
MEG2- SSI Medicaid Only	0	574,925,356	619,373,502	614,417,916	605,526,023
MEG3- SSI DUAL	0	395,585,750	408,063,261	430,142,197	402,872,884
MEG4-217	0	4,617,664	3,906,915	5,353,671	8,935,097
MEG5- 217 DUAL	0	60,154,448	59,416,310	64,866,189	80,514,986
MEG6-VIII GROUP	0	856,015,394	1,309,411,673	1,362,167,354	1,348,917,112
MEG8-UHC-Uncompensated care	0	47,671,411	25,207,785	0	0
MEG9-HQII-Hospital Quality Improve Incentive	0	0	1,987,574	0	0
UC	0	0	0	0	0
Uncompensated Care "UC" Pool	0	1	21,781,306	48,608,306	49,178,366
Hospital Quality Improvement Incentive "HQII" Pool	0	0	0	5,234,511	6,368,511
Total	0	3,009,373,066	3,565,328,633	3,671,593,382	3,681,606,886

M-CHIP Waivers

Waiver Name	A	01	02	03	04
MEG7-CHIP GROUP	0	84,356,770	123,818,594	118,470,175	106,615,625
Total	0	84,356,770	123,818,594	118,470,175	106,615,625

Waiver Name	A	01	02	03	04
MEG7-CHIP GROUP	0	66,272,570	105,307,880	118,470,175	106,615,625
Total	0	66,272,570	105,307,880	118,470,175	106,615,625

ADM Waivers

Waiver Name	A	01	02	03	04
Admin	0	109,509,693	0	0	0
MEG1-TANF & Related	0	1,954,350	65,528,478	65,128,092	75,672,882
MEG2- SSI Medicaid Only	0	0	7,492,116	7,098,152	8,204,996
MEG3- SSI DUAL	0	0	6,533,901	6,432,635	7,626,009
MEG4-217	0	0	38,287	33,620	78,602
MEG5- 217 DUAL	0	0	408,067	443,667	673,384
MEG6-VIII GROUP	0	36,509,156	42,521,593	46,219,961	60,776,652
MEG7-CHIP GROUP	0	972,016	9,725,447	8,862,780	10,909,982
Total	0	148,945,215	132,247,889	134,218,907	163,942,507

Waiver Name	A	01	02	03	04
Admin	0	72,320,580	0	0	0
MEG1-TANF & Related	0	1,033,347	40,960,378	40,581,487	48,575,411
MEG2- SSI Medicaid Only	0	0	4,682,951	4,421,346	5,263,719
MEG3- SSI DUAL	0	0	4,084,108	4,007,329	4,887,719
MEG4-217	0	0	23,899	21,025	50,385
MEG5- 217 DUAL	0	0	255,098	276,989	432,086
MEG6-VIII GROUP	0	24,041,491	26,579,123	28,804,937	38,875,056
MEG7-CHIP GROUP	0	644,187	6,078,767	5,514,776	6,957,623
Total	0	98,039,605	82,664,324	83,627,889	105,041,999

Created On: Monday, May 6, 2019 11:55 AM

Schedule C
CMS 64 Waiver Expenditure Report
Cumulative Data Ending Quarter/Year : 2/2019

Summary of Expenditures by Waiver Year
Waiver: 11W00285

Total Computable

05	06	07	08	09	10	11	12	13
1,477,110,678	332,875,945	0	0	0	0	0	0	0
793,311,286	195,025,393	0	0	0	0	0	0	0
554,220,460	136,333,749	0	0	0	0	0	0	0
12,028,697	2,763,152	0	0	0	0	0	0	0
134,190,262	34,505,232	0	0	0	0	0	0	0
1,458,756,082	355,844,644	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0
68,889,323	0	0	0	0	0	0	0	0
12,011,853	0	0	0	0	0	0	0	0
4,510,518,641	1,057,348,115	0	0	0	0	0	0	0

Federal Share

05	06	07	08	09	10	11	12	13
1,090,125,719	244,682,584	0	0	0	0	0	0	0
575,180,064	141,457,905	0	0	0	0	0	0	0
400,132,464	98,514,786	0	0	0	0	0	0	0
8,685,798	1,996,654	0	0	0	0	0	0	0
96,875,192	24,933,481	0	0	0	0	0	0	0
1,374,466,724	331,767,363	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0
49,727,759	0	0	0	0	0	0	0	0
8,679,765	0	0	0	0	0	0	0	0
3,603,873,485	843,352,773	0	0	0	0	0	0	0

Total Computable

24	25	Total	Total Less Non-Adds
0	0	7,968,636,124	7,968,636,124
0	0	4,407,881,486	4,407,881,486
0	0	3,019,307,218	3,019,307,218
0	0	47,139,240	47,139,240
0	0	545,201,458	545,201,458
0	0	6,760,737,541	6,760,737,541
0	0	104,895,300	104,895,300
0	0	2,824,462	2,824,462
0	0	0	0
0	0	237,956,966	237,956,966
0	0	28,196,471	28,196,471
0	0	23,122,776,266	23,122,776,266

24	25	Total	Total Less Non-Adds
0	0	5,841,488,797	5,841,488,797
0	0	3,130,880,766	3,130,880,766
0	0	2,135,311,342	2,135,311,342
0	0	33,495,799	33,495,799
0	0	386,760,606	386,760,606
0	0	6,582,745,620	6,582,745,620
0	0	72,879,196	72,879,196
0	0	1,987,574	1,987,574
0	0	0	0
0	0	169,295,738	169,295,738
0	0	20,282,787	20,282,787
0	0	18,375,128,225	18,375,128,225

24	25	Total	Total Less Non-Adds
0	0	554,631,205	554,631,205
0	0	554,631,205	554,631,205

24	25	Total	Total Less Non-Adds
0	0	518,036,291	518,036,291
0	0	518,036,291	518,036,291

24	25	Total	Total Less Non-Adds
0	0	147,150,277	147,150,277
0	0	288,759,514	288,759,514
0	0	31,250,560	31,250,560
0	0	28,480,241	28,480,241
0	0	223,721	223,721
0	0	2,373,050	2,373,050
0	0	245,279,923	245,279,923
0	0	39,712,533	39,712,533
0	0	783,229,819	783,229,819

24	25	Total	Total Less Non-Adds
0	0	97,390,561	97,390,561
0	0	182,005,731	182,005,731
0	0	19,711,854	19,711,854
0	0	17,965,413	17,965,413
0	0	141,596	141,596
0	0	1,501,031	1,501,031
0	0	155,749,558	155,749,558
0	0	25,036,163	25,036,163
0	0	499,501,907	499,501,907

MEMBER MONTHS**CY 2016 Quarter****CENTENNIAL CARE MEG REPORTING**

Eligibility Group	1	2	3	4
Population 1 – TANF and Related	1,130,779	1,150,300	1,169,603	1,170,974
Population 2 – SSI and Related – Medicaid Only	123,597	122,633	123,728	123,619
Population 3 – SSI and Related - Dual	110,017	111,379	113,425	112,980
Population 4 – 217-like Group – Medicaid Only	566	1064	564	793
Population 5 – 217-like Group - Dual	6,938	8,390	7,911	8,627
Population 6 – VIII Group (expansion)	753,995	761,293	778,625	784,161
Population 7 - CHIP Group	151,824	140,006	134,983	132,292

Total**2,277,716 2,295,065 2,328,839 2,333,446**

Report extracted on October 3, 2018.

CY 2017 Quarter**CY 2018 Quarter**

Total	1	2	3	4	Total	1	2	3
4,621,656	1,180,160	1,170,146	1,145,575	1,127,594	4,623,475	1,129,981	1,116,304	1,090,794
493,577	124,408	125,136	122,027	116,227	487,798	116,043	115,944	114,383
447,801	111,537	111,883	111,273	108,378	443,071	108,032	108,101	108,109
2,987	1,133	1,006	857	801	3,797	830	835	852
31,866	9,714	10,023	10,181	10,491	40,409	11,050	11,820	12,255
3,078,074	806,114	802,658	773,108	762,010	3,143,890	762,410	756,109	746,968
559,105	133,031	130,727	123,340	117,212	504,310	117,719	113,236	109,500
9,235,066	2,366,097	2,351,579	2,286,361	2,242,713	9,246,750	2,246,065	2,222,349	2,182,861

CY 2019 Quarter

4	Total	1	2	3	4	Total
1,085,119	4,422,198	1,075,174				1,075,174
113,862	460,232	113,585				113,585
107,497	431,739	105,974				105,974
818	3,335	897				897
12,282	47,407	11,924				11,924
753,093	3,018,580	756,695				756,695
111,624	452,079	113,238				113,238
2,184,295	8,835,570	2,177,487	0	0	0	2,177,487

Table #9 - Waiver Year 5 Expenditures

Medicaid Eligibility Group (MEG)	Program Expenditures	Administrative Expenditures
MEG01 - TANF & Related	\$ 1,477,110,678	\$ 80,475,712
MEG02 - SSI & Related - Medicaid Only	\$ 793,311,286	\$ 8,455,296
MEG03 - SSI & Related - Dual Eligible	\$ 554,220,460	\$ 7,887,696
MEG04 - "217 Like" Medicaid Only	\$ 12,028,697	\$ 73,212
MEG05 - "217 Like" Dual Eligible	\$ 134,190,262	\$ 847,932
MEG06 - VIII Group - Medicaid Expansion	\$ 1,458,756,082	\$ 59,252,561
MEG07 - CHIP	\$ 97,410,693	\$ 9,242,308
Uncompensated Care "UC" Pool	\$ 68,889,323	N/A
Hospital Quality Improvement Incentive "HQII" Pool	\$ 12,011,853	N/A
Grand Total	\$ 4,607,929,334	\$ 166,234,717

Source: New Mexico CMS 64 Submission, FFY 19 Quarter 2, May 6, 2019.